

Forschungszentrum Karlsruhe
in der Helmholtz-Gemeinschaft

Non-equilibrium Kinetics of Disordered Luttinger Liquid

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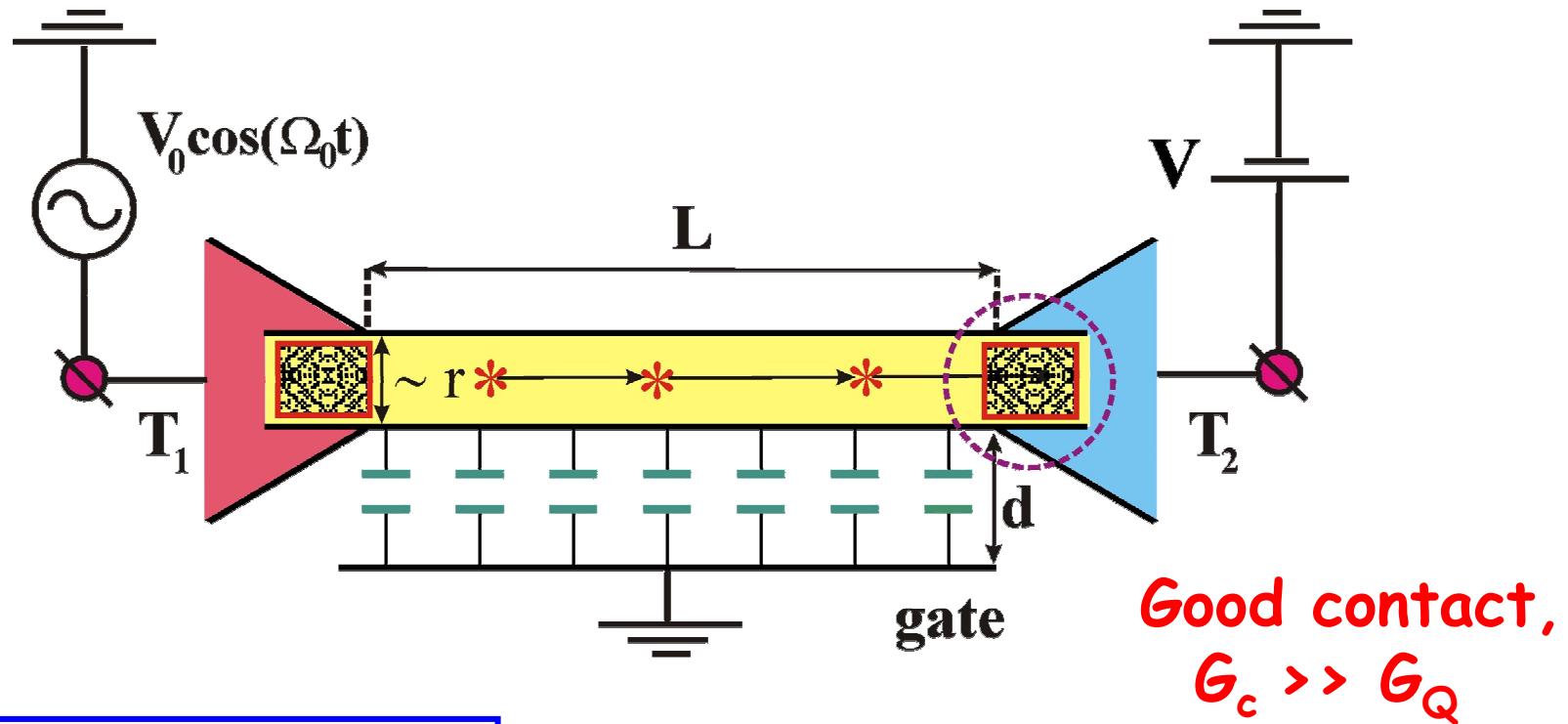


Symposium on Theoretical and Mathematical Physics, St. Petersburg, 4th July, 2009

Outline

- 1D disordered quantum nanowires
 - Luttinger-type physics (High T)
 - Anderson localization (Low T)
- Kinetic theory of strongly correlated (non-Fermi) disordered 1D liquids
 - Basis: Keldysh-type 1D ballistic σ -model.
- Result: coupled “quantum kinetic equations” for electrons and plasmons
 - Output: relaxation times.
- Conclusions & Outlook

1-D Quantum Wire



$$l \sim L, \quad G \sim G_Q \quad - \text{Limit of weak disorder}$$

$$\max(eV, T) \gg E_{Th} \sim v_F / L \quad - \text{Thouless energy (1D case)}$$

Fermion Action

- **Single-channel wire**

$$\psi(x) = \psi_+(x)e^{ik_F x} + \psi_-(x)e^{-ik_F x} \quad \rho_\mu(x) \simeq \bar{\psi}_\mu(x)\psi_\mu(x)$$

1-D fermions: left/right movers

$$S = i \int_{C_K} dt \int_0^L dx \sum_{\mu=\pm} \bar{\psi}_\mu (\partial_t - \mu v_F \partial_x) \psi_\mu + S_{e-e}$$

linear spectrum !

$$+ \int_{C_K} dt \int_0^L \{U_b(x) \bar{\psi}_L \psi_R + \text{h.c.}\}$$

- **White-noise disorder:**

τ - elastic scattering time

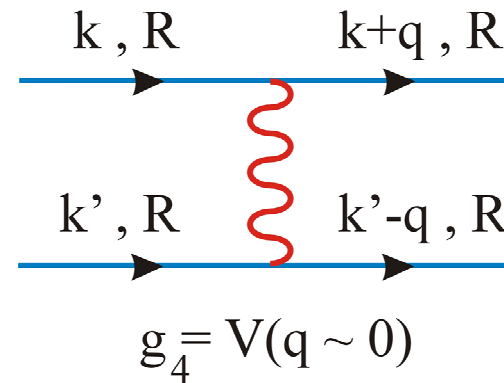
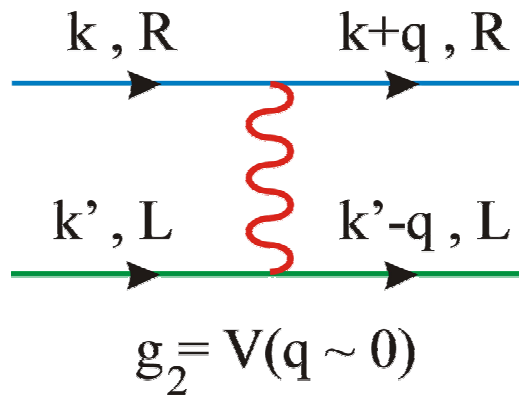
$$\langle U_b^*(x_1) U_b(x_2) \rangle = \delta(x_1 - x_2) / (2v_F \tau)$$

Backscattering amplitude !

Fermion Action

- Screened Coulomb interaction

$$V_q = 2e^2 \ln(2d/r), \quad qd \ll 1$$



$$S_{e-e} = \frac{1}{2} \sum_{\mu, \mu'} \int_{C_K} dt \int_0^L dx_1 dx_2 \rho_{\mu}(x_1) V(x_1 - x_2) \rho_{\mu'}(x_2)$$

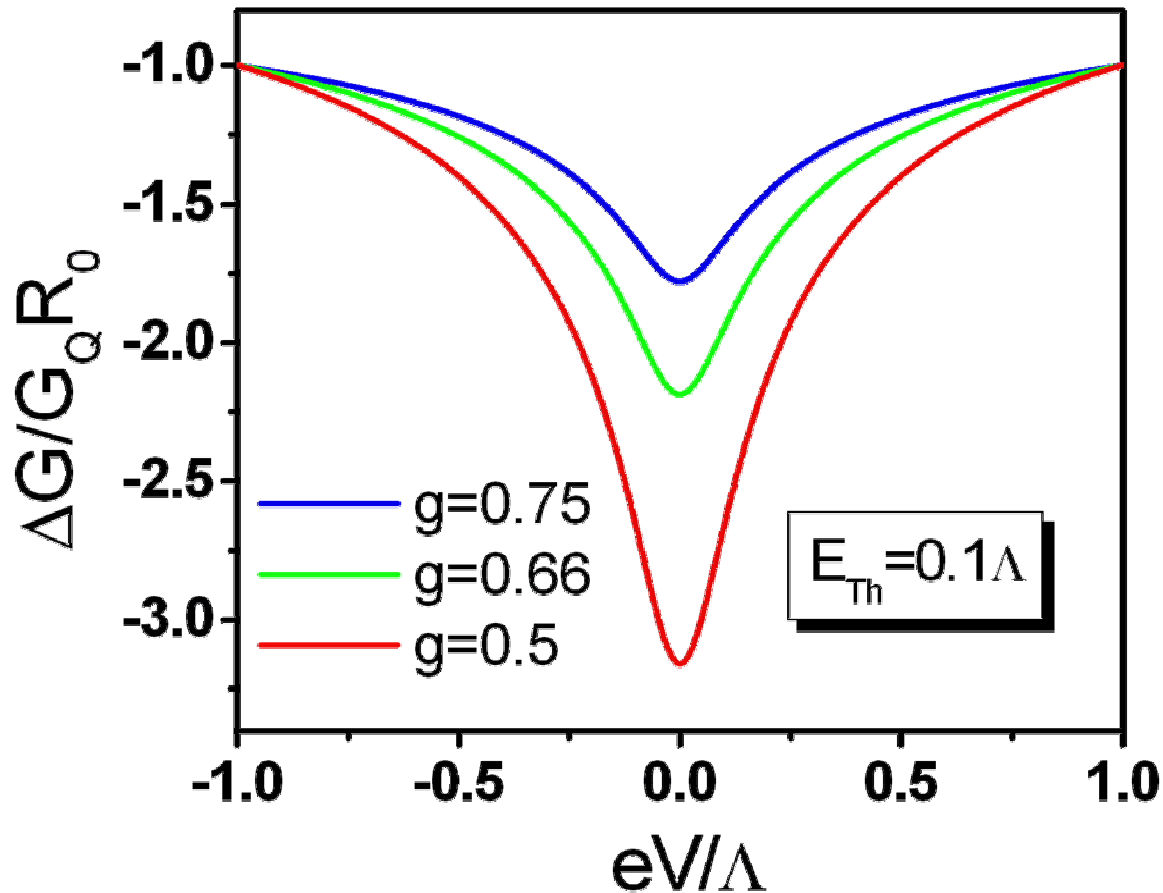
- Bosonic modes are plasmons (charge density waves) !

$$u_{\rho} = v_F / K$$

$$K = \left(1 + V_q / \pi v_F\right)^{-1/2} < 1$$

Interaction constant

DC conductance



Conductance

$$\Delta G(E) = -G_Q R(E)$$

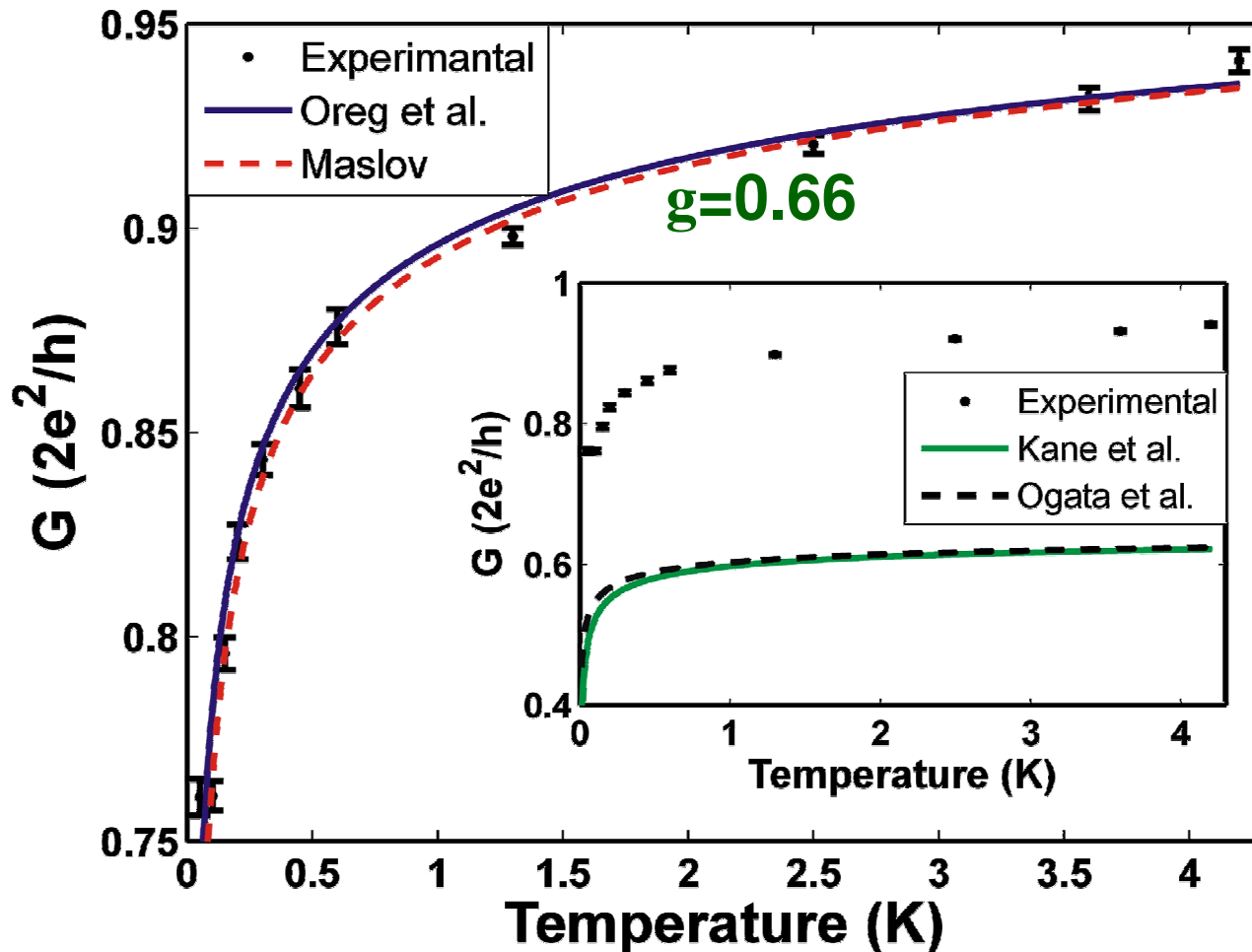
$$R(E) \propto R_0 \left(\frac{\Lambda}{E} \right)^{1-K}$$

Renormalization of reflection coefficient

(Kane & Fisher '92
Ponomarenko '94,
Maslov '94, ...)

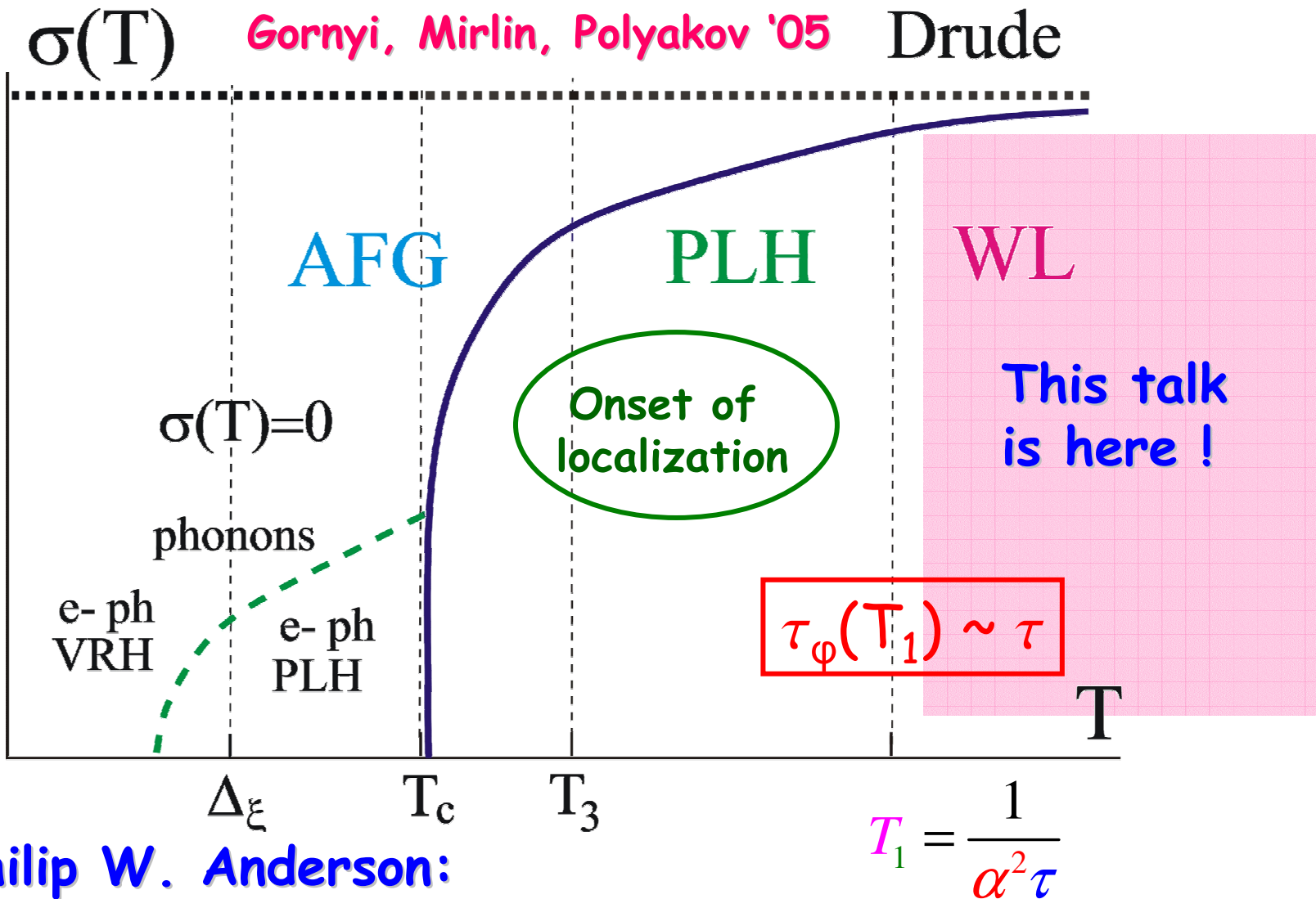
Short wire, $L \ll l(E_{Th})$, weak backscattering limit

Experiment on V-groove wires



E.Levy, A.Tsukernik, M.Karpovsky, A.Palevsky, B.Dwir, E.Pelucchi, A.Rudra, E.Kapon, Y.Oreg, PRL 97, 196802, 2007

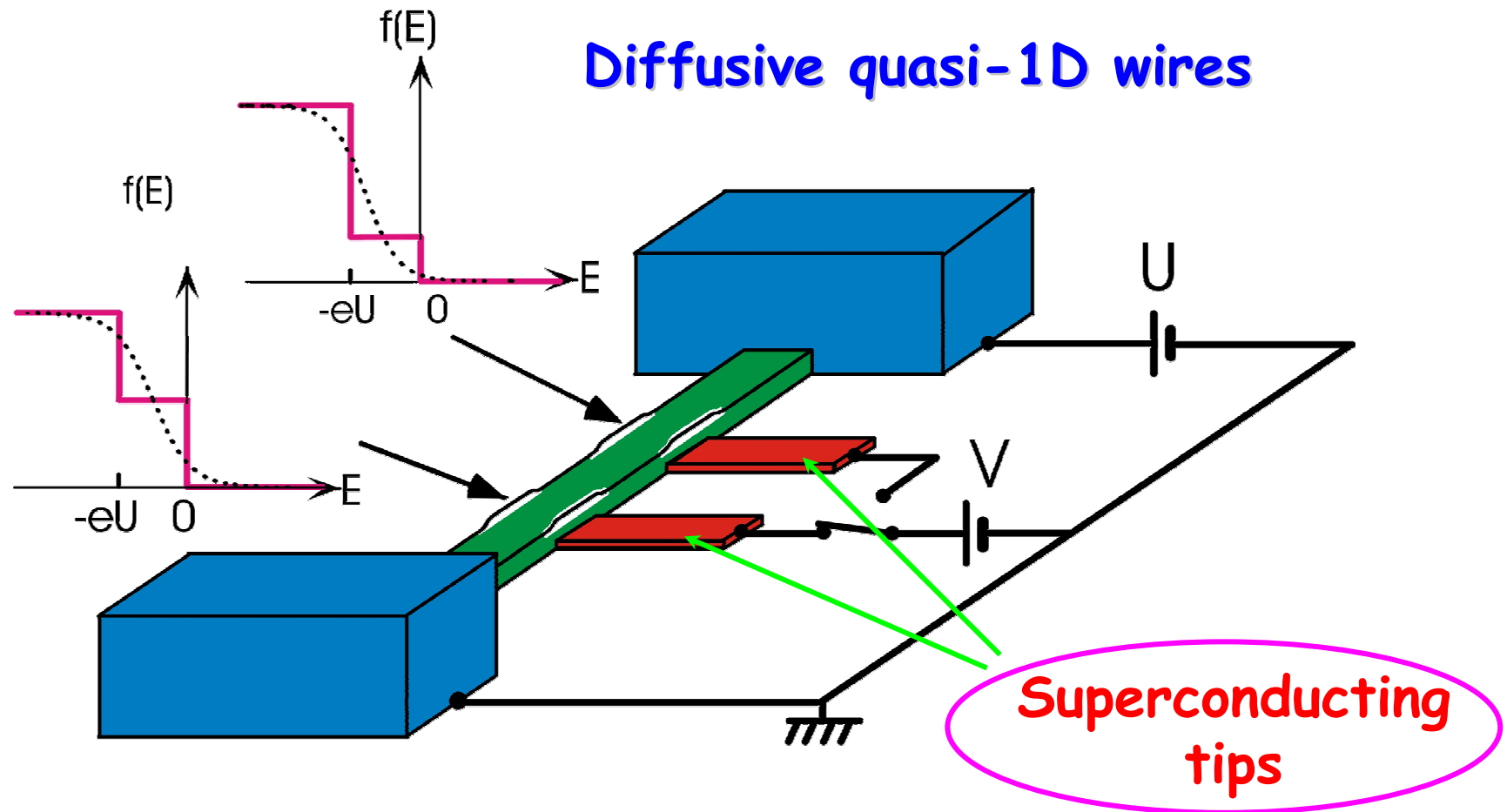
Weak to Strong Localization



Philip W. Anderson:

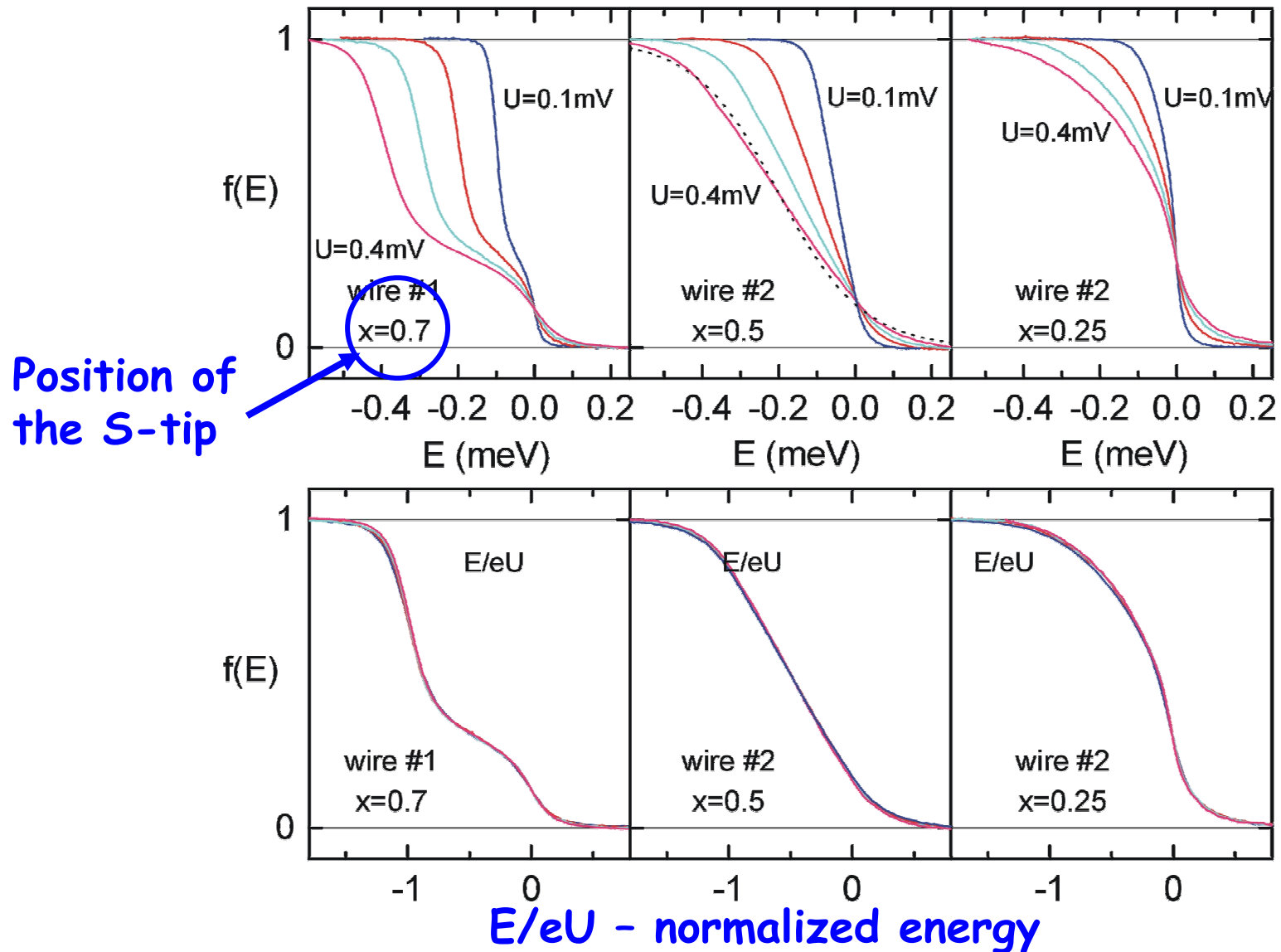
„ At $T=0$ and $D \leq 2$ all electronic states are localized“

Experiments on Energy Relaxation



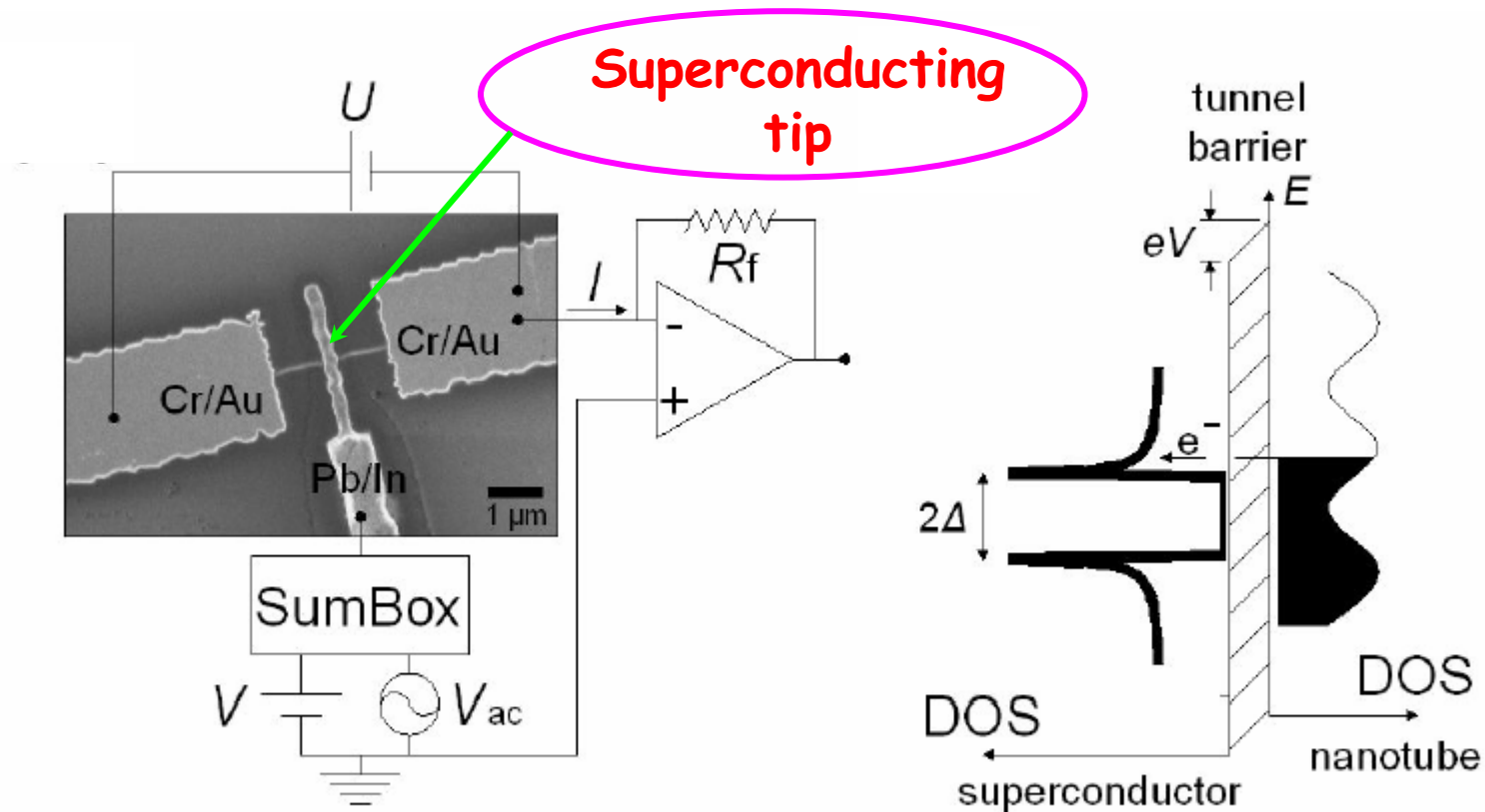
F. Pierre, H. Pothier, D. Esteve, M.H. Devoret, A.B. Gougam and N.O. Birge, 2000-2003

Distribution function



Experiment

Non-equilibrium tunneling spectroscopy in carbon nanotube



Y.-F. Chen, T. Dirks, G. Al-Zoubi, N.O. Birge, N. Mason, PRL' 09

Energy Relaxation in 1D

- In the *clean* Luttinger Liquid (integrable model!) there is no energy relaxation!

Non-equilibrium state \Rightarrow no relaxation

- Disorder \Rightarrow allows for relaxation to *equilibrium*
(This talk !)

- Energy relaxation *beyond* Luttinger Liquid

Curvature in spectrum + finite range interaction

(A.M. Lunde, K. Flensberg, L. Glazman' 07) \Rightarrow

small in parameter $T/E_F \ll 1$

**How one should describe
the *non-equilibrium* state
of the Luttinger Liquid?**

“Functional” bosonization

We use the Hubbard-Stratonovich decoupling scheme

- Semiclassical Keldysh Green's function at $x=x'$

$$g(x, t_1, t_2) = iv_F [G(x, x+0, t_1, t_2) + G(x, x-0, t_1, t_2)]$$

Using the ideas of the non-equilibrium superconductivity!

cf. Shelankov' 85

- Eilenberger equation (exact for linear spectrum in 1D !)

Equation of motion for electron in the fluctuating electric field

$$iv_F \partial_x g + \left[(i\partial_t - \varphi)\tau_z - \frac{1}{2}(U_b \tau_+ + U_b^* \tau_-), g \right] = 0$$

Hubbard-Stratonovich
decoupling field

Impurity
backscattering

$$g \circ g = \hat{1}$$

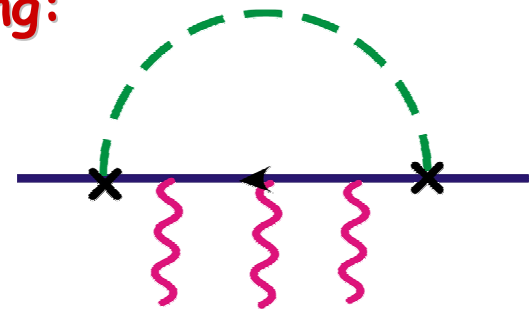
Normalization

1D Keldysh action

Based on ideas of
Muzykantskii, Khmelnitskii '95 & Kamenev, Andreev '99

Born approximation over impurity scattering:

$$i\mu v_F \partial_x \bar{g}^\mu + \left[(i\partial_t - \varphi)\tau_z - \frac{i}{4\tau} \bar{g}^{-\mu}, \bar{g}^\mu \right] = 0$$



- Effective action

Keldysh-type 1D ballistic σ -model

$$S[g, \varphi] = -\frac{1}{2v_F} \text{Tr}(i\partial_t - \varphi)\tau_z g - \frac{i}{2} \text{Tr}(g_0 T^{-1} \partial_x T) - \frac{i}{8v_F \tau} \text{Tr}(g_R g_L)$$

$$g_0^\mu = \begin{pmatrix} \hat{1} & 2(\hat{1} - 2f^\mu) \\ 0 & -\hat{1} \end{pmatrix}$$

$$g = T g_0 T^{-1}$$

Ambegaokar-Eckern-Schön action

Electron distribution function

Kinetic equation for electrons

$$\left\{ \begin{array}{l} (\partial_t - \phi_R \partial_\epsilon + v_F \partial_x) f_\epsilon^R = -\frac{1}{2\tau} (f_\epsilon^R - f_\epsilon^L) + St_e^R \\ \phi_R = g_2 \rho_L \leftarrow \text{"Poisson" equation} \\ \rho_L(t, x) = \frac{1}{2\pi v_F} \left[-\phi_L(t, x) + \int d\epsilon f_\epsilon^L(t, x) \right] \end{array} \right.$$

Collision integral

Charge density

cf. the kinetic equations in plasma physics

- Motion of e^- in the dissipative bosonic environment

$$St_e^\mu(\epsilon) = \sum_v \int d\omega \left\{ I_{<}^{\mu\nu}(\omega) f_{\epsilon-\omega}^\nu (1 - f_\epsilon^\mu) - I_{>}^{\mu\nu}(\omega) f_\epsilon^\mu (1 - f_{\epsilon-\omega}^\nu) \right\}$$

Absorption

Full rate of emission

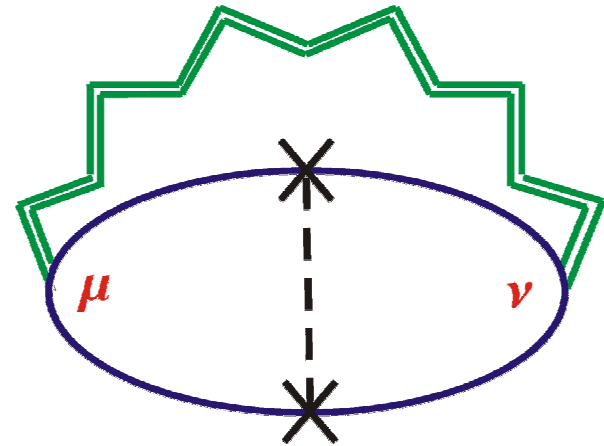
Emission rate (in one-loop)

RPA-like effective e-e interaction: $V_{>}^{\mu\nu}(\omega, q)$

Plasmon propagator,
poles: $q = \pm \omega(1 \pm i/2\omega\tau)/u$

Plasmons exist at $\omega \gg T_1 = 1/\alpha^2\tau$ only

Particle-hole propagator,
poles: $q = \pm \omega(1 \pm i/2\omega\tau)/v_F$

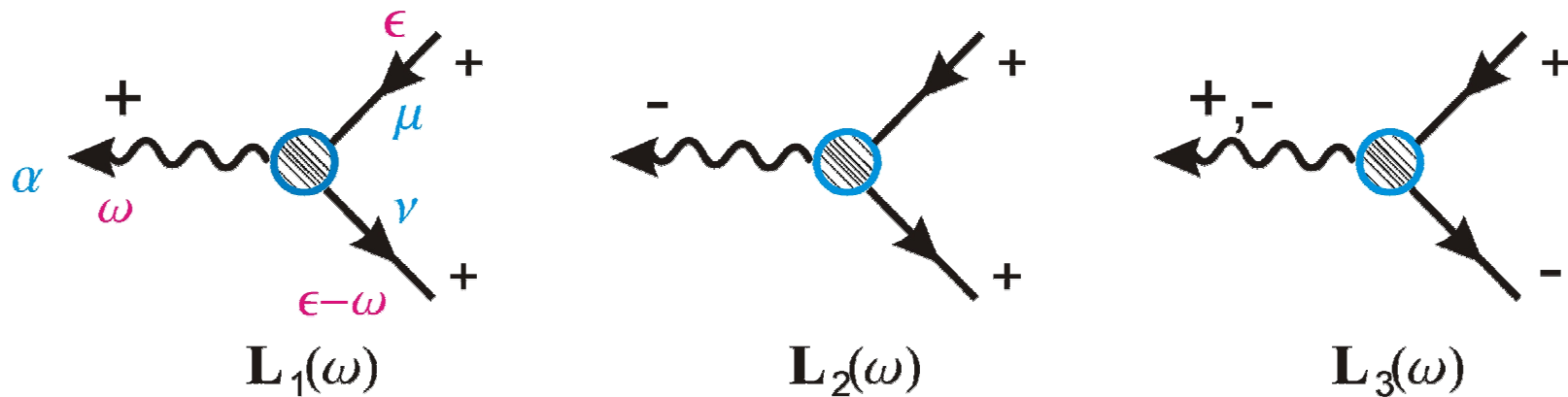


$\text{Re } D_R^{\mu\nu}(\omega, q)$

$$I_{>}^{\mu\nu}(\omega) = \frac{i}{\pi} \int \frac{dq}{2\pi} V_{>}^{\mu\nu}(\omega, q) \text{Re } D_R^{\mu\nu}(\omega, q)$$

Large energy transfer, $\omega \gg T_1$

We treat contributions from different poles separately !



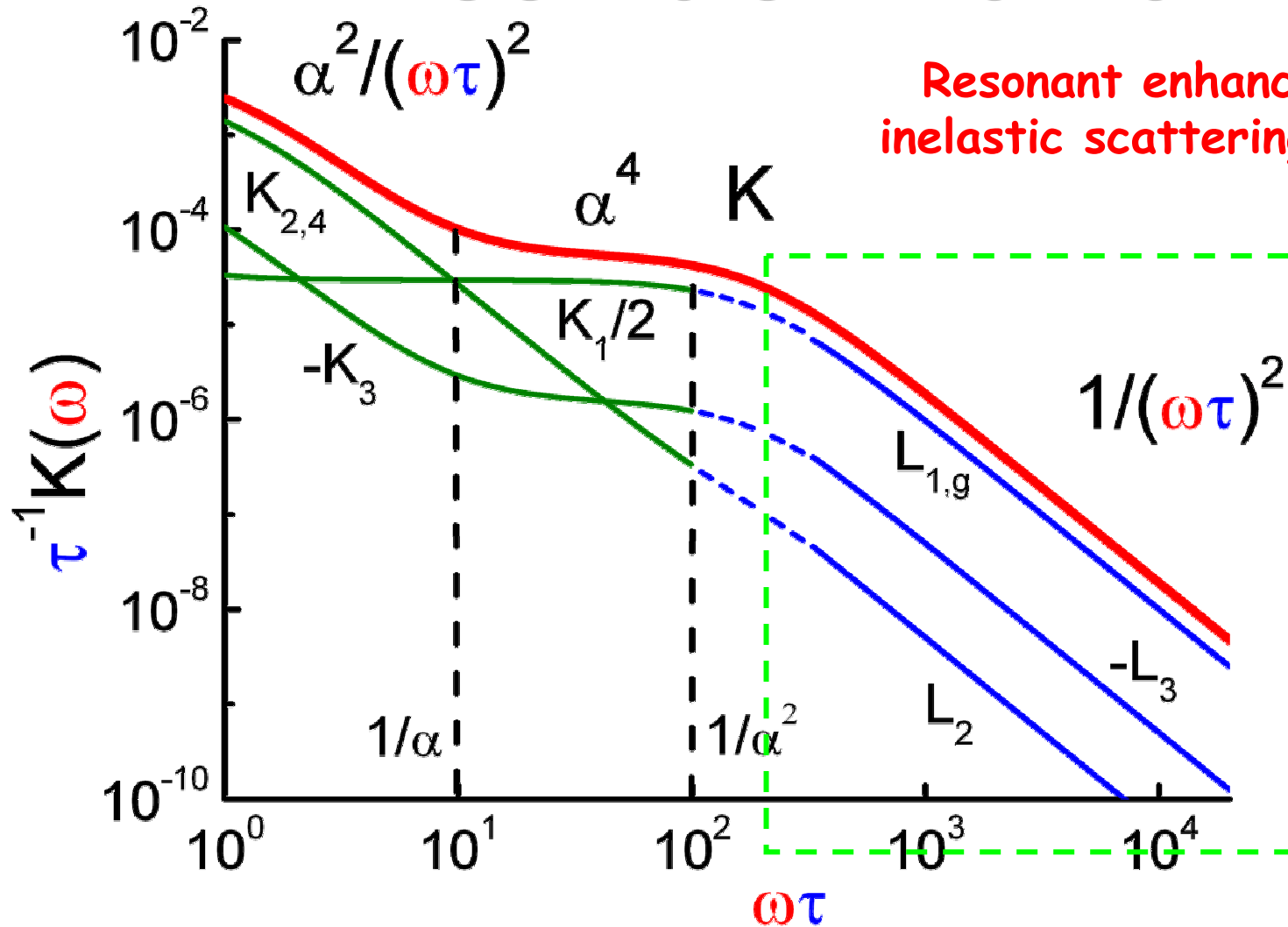
Resonant process!

Emission rate of plasmons:

$$I_{p,>}^{\mu\nu}(\omega) = \sum_{\alpha} L_{\alpha}^{\mu\nu}(\omega)(1 + n_{\omega}^{\alpha})$$

$$L_{1,2}(\omega) = \frac{1}{2\omega^2\tau} \left(\frac{v_F}{u} \pm 1 \right), \quad L_3(\omega) = -\frac{\alpha}{2\omega^2\tau} \frac{v_F}{u}$$

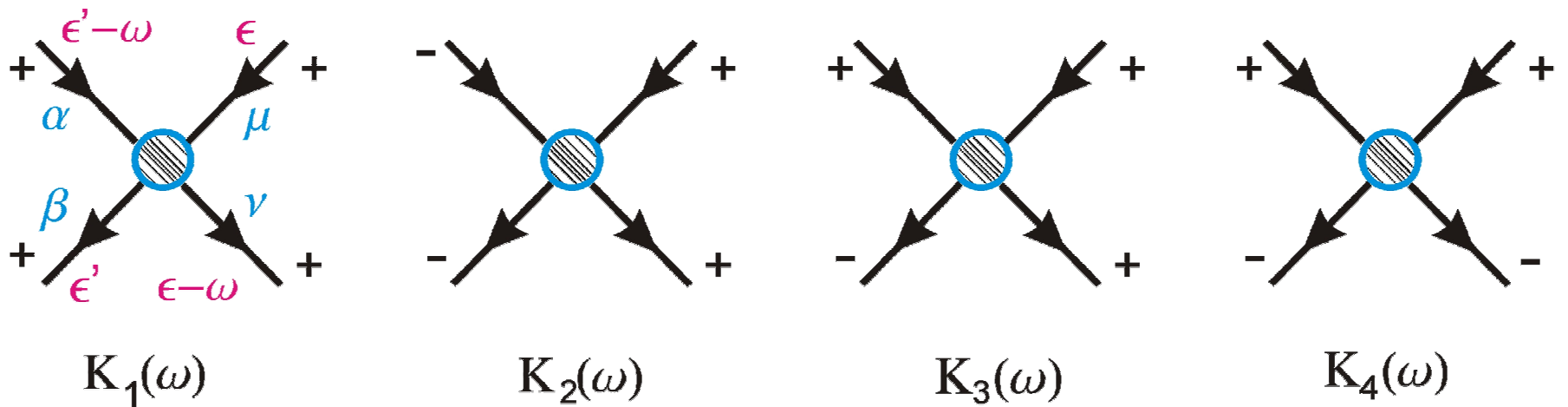
Collision Kernel



Weak interaction limit, $\alpha = V_q / \pi V_F \ll 1$

Small energy transfer, $\omega \ll T_1$

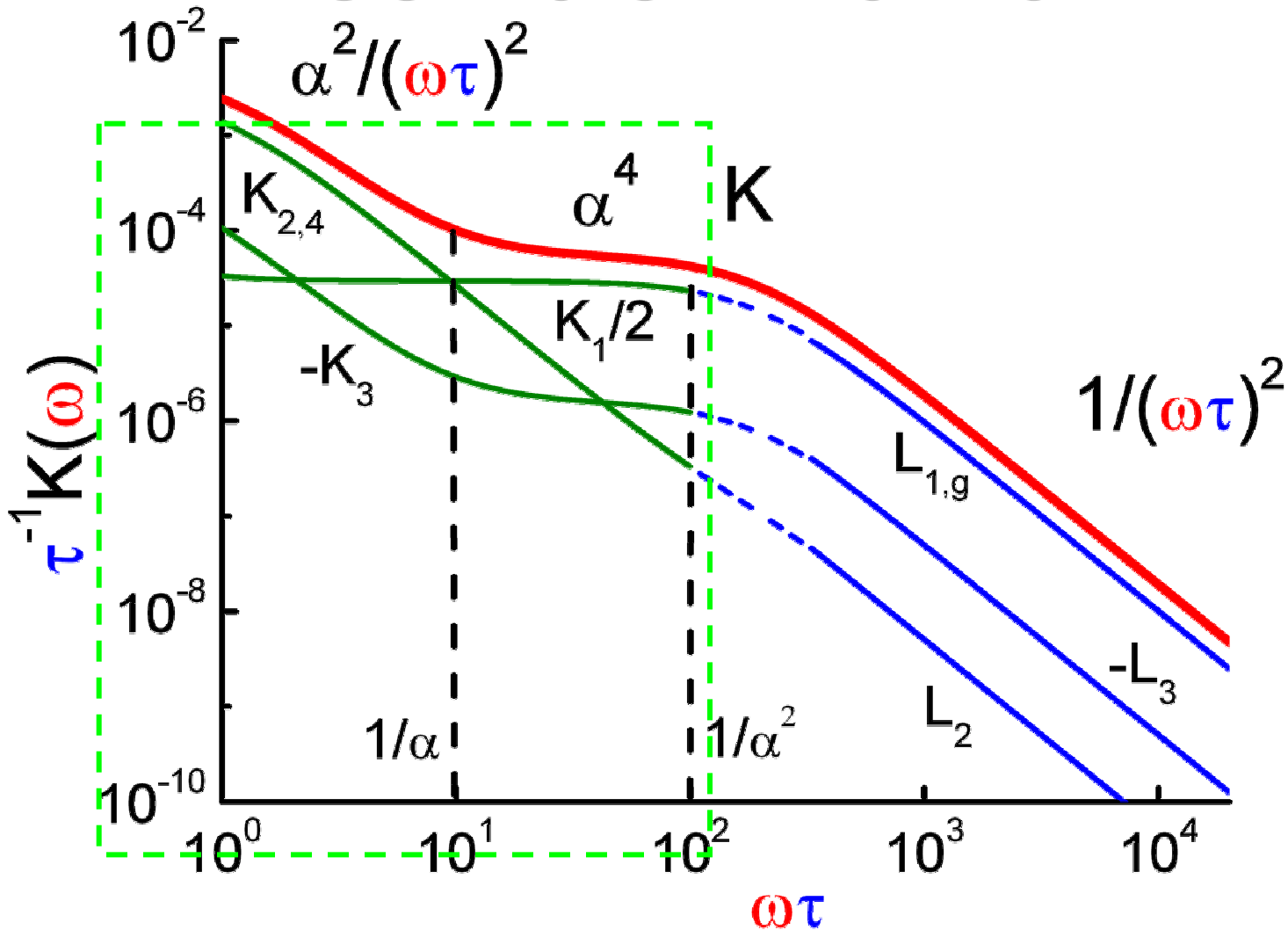
Plasmon-mediated two-fermion scattering



Emission rate:

$$I_{>}^{\mu\nu}(\omega) = \sum_{\alpha\beta} \int d\epsilon' K_{\beta\nu}^{\alpha\mu}(\omega) f_{\epsilon'-\omega}^{\alpha} (1 - f_{\epsilon'}^{\beta})$$

Collision Kernel



Weak interaction limit, $\alpha = V_q/\pi V_F \ll 1$

Kinetic equation (plasmons)

Decay and recombination of plasmons
from/into electron-hole pairs

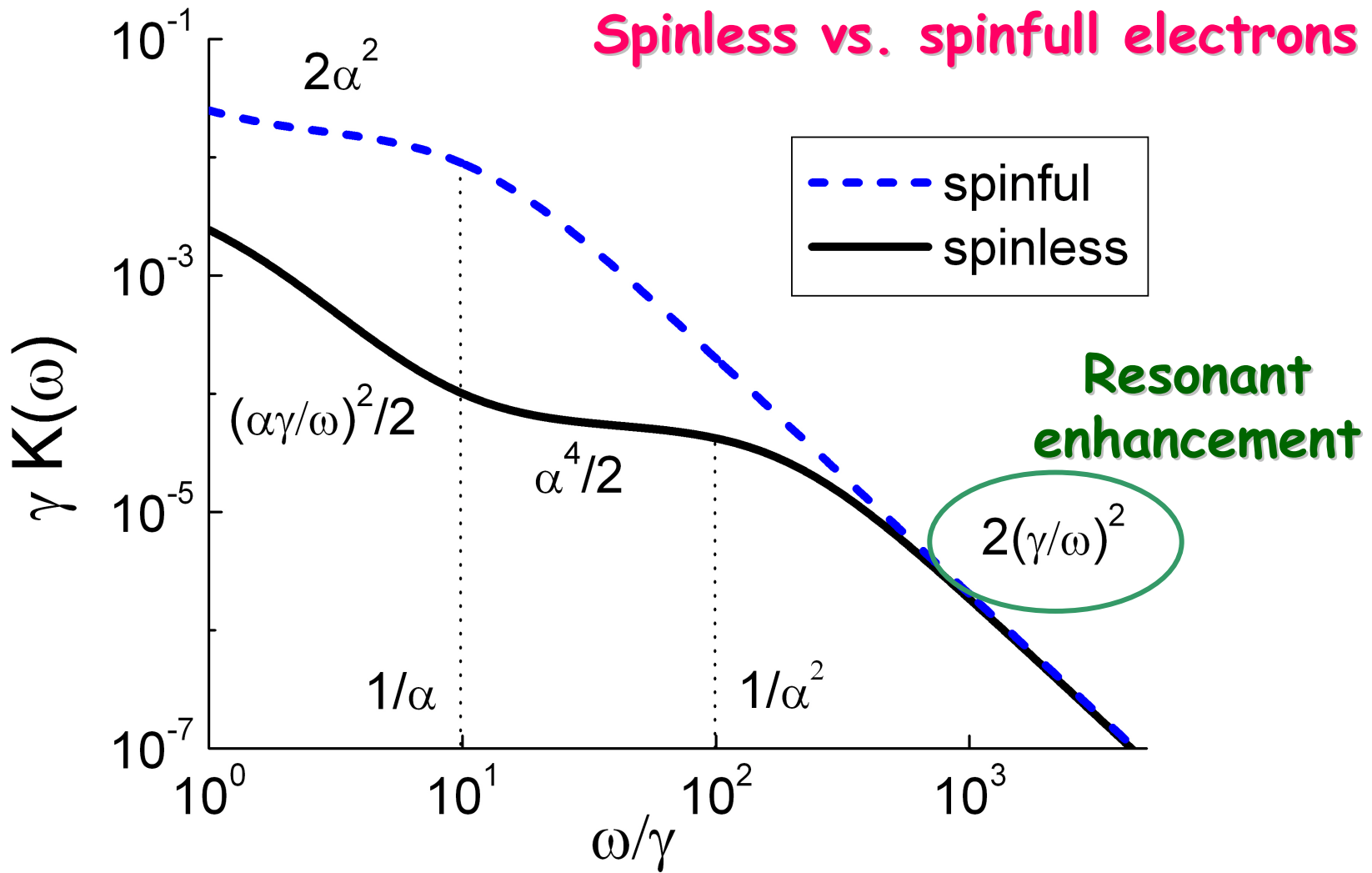
$$\partial_t n_{\omega}^R + u \partial_x n_{\omega}^R = -\frac{1-\alpha}{\tau} n_{\omega}^R + St_b^R(\omega)$$

Collision integral:

$$St_b^{\mu}(\omega) = \frac{1}{2\tau} \left[\left(1 + \frac{u}{v_F} \right) N_{\omega}^{\mu\mu} + \left(1 - \frac{u}{v_F} \right) N_{\omega}^{-\mu,-\mu} - 2\alpha N_{\omega}^{\mu,-\mu} \right]$$

$$N_{\omega}^{\mu\nu} = \frac{1}{2\omega} \int dE f_{E+\omega}^{\mu} (1 - f_E^{\nu}) + (\mu \leftrightarrow \nu)$$

Total Collision Kernel



Weak interaction limit, $\alpha = V_q / \pi v_F \ll 1$

Relaxation times

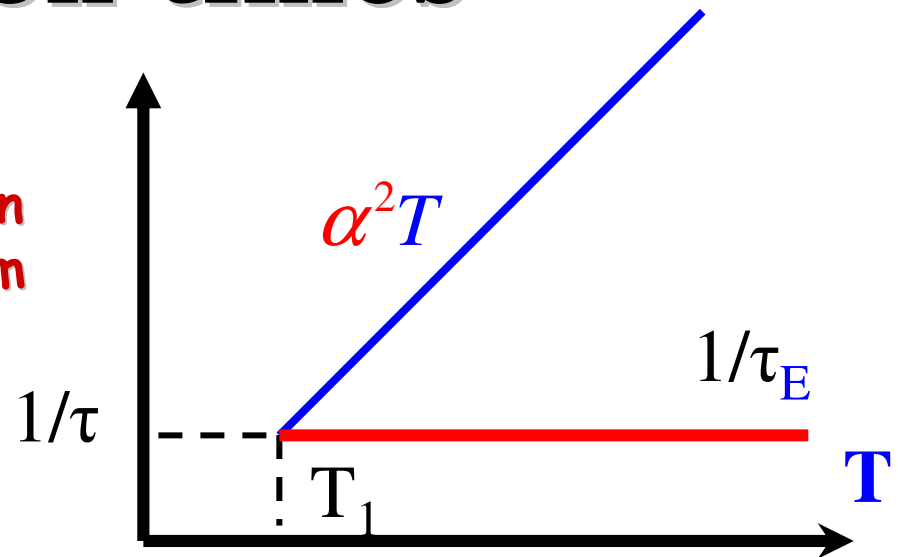
- **Equilibration rate**

(Relaxation rate of the electron system to the locally equilibrium Fermi distribution)

$$T \gg T_1 = 1 / \alpha^2 \tau$$

$$\frac{1}{\tau_E(T)} \sim T^{-1} \int_0^T K(\omega) \omega^2 d\omega \sim \frac{1}{\tau}$$

= Impurity scattering rate !!!
(Interaction and T independent!)



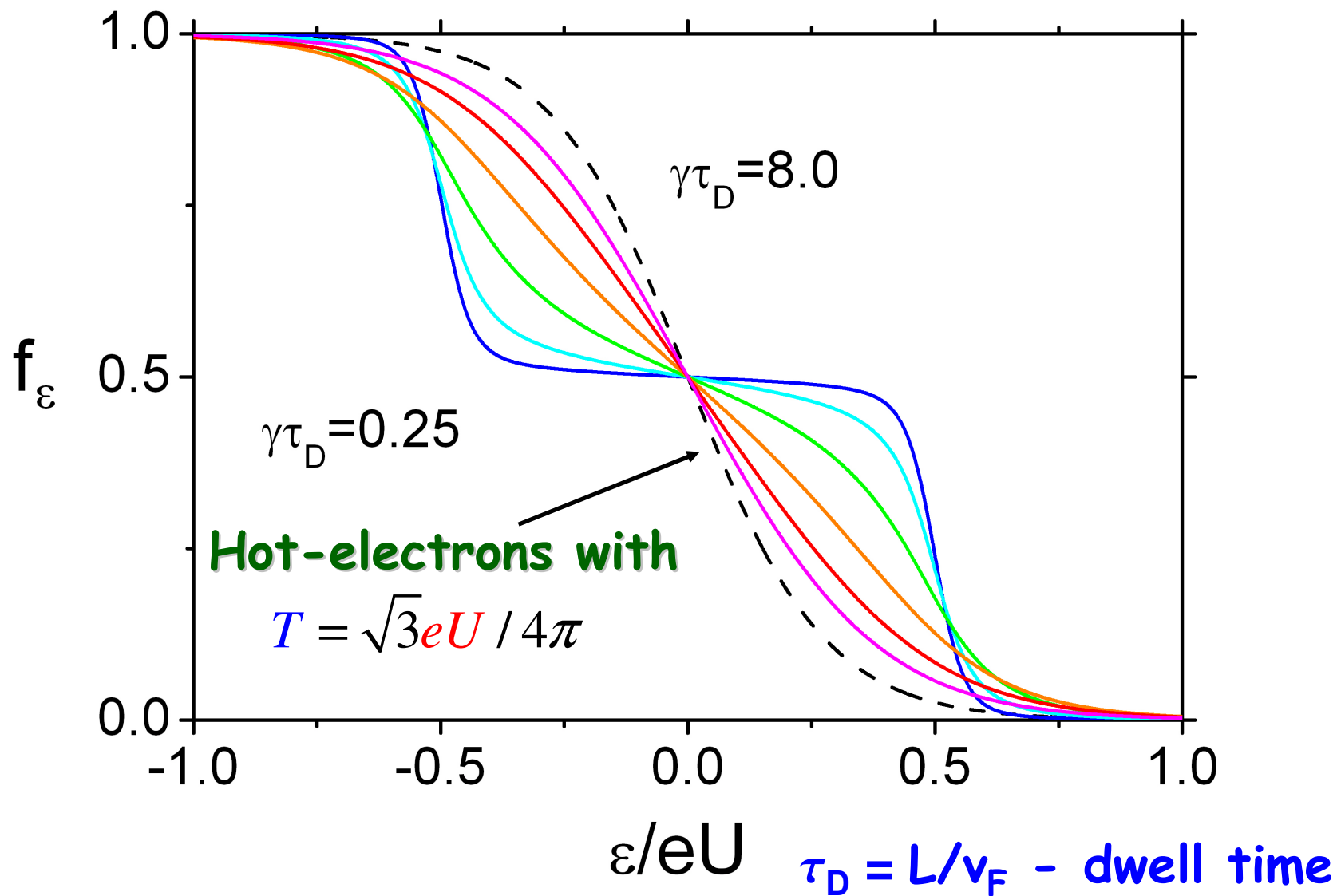
- **Out-scattering rate of electron**

(It is determined by the out-term of kinetic equation)

$$\frac{1}{\tau_{out}(T)} \sim T \int_0^T K(\omega) d\omega = \alpha^2 T$$

Relevant for zero-bias anomaly,
same as in clean limit

Electron distribution function



Summary

Kinetic theory approach to non-equilibrium

Luttinger Liquids

- Formulated kinetic-equation description of a Luttinger liquid out of equilibrium
- Disorder-induced resonant enhancement of inelastic scattering
- Equilibration rate = elastic scattering rate