

# Bethe states and separation of variables for $SU(N)$ Heisenberg spin chain

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based on

[1610.08032](#) [NG, F. Levkovich-Maslyuk, G. Sizov]

Below: FLM = F. Levkovich-Maslyuk

N.Gromov = NG

# Motivation from AdS/CFT

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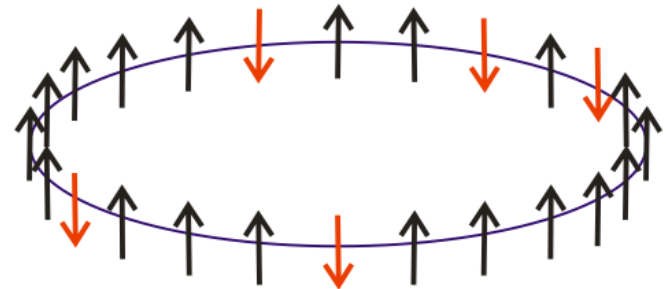
Planar N=4 SYM / strings on  $AdS_5 \times S^5$  – integrable at any coupling

single trace operators

$$\text{Tr}(\Phi_1(x)\Phi_2(x)\Phi_2(x)\Phi_1(x)\dots)$$



spin chain eigenstates



spectrum of  
conformal dimensions  $\Delta$



energy levels

3-point structure constants  $C_{123}$



scalar products of  
wavefunctions

Need an efficient description of spin chain eigenstates

# XXX spin chain reformulation

Starting point: Baxter equation

$$T(u)Q(u) + (u + i/2)^L Q(u - i) + (u - i/2)^L Q(u + i) = 0$$

Two solutions: twisted polynomial  $Q_1 \sim \lambda^{iu} u^N$

second solution (for the same T)  $Q_2 \sim \lambda^{-iu} u^{L-N}$

Equivalent description:

Easy to generalize SU(3):

$$\begin{vmatrix} Q_1\left(u + \frac{i}{2}\right) & Q_2\left(u + \frac{i}{2}\right) \\ Q_1\left(u - \frac{i}{2}\right) & Q_2\left(u - \frac{i}{2}\right) \end{vmatrix} = u^L$$

$$\begin{vmatrix} Q_1(u+i) & Q_2(u+i) & Q_3(u+i) \\ Q_1(u) & Q_2(u) & Q_3(u) \\ Q_1(u-i) & Q_2(u-i) & Q_3(u-i) \end{vmatrix} = u^L$$

Can use instead of the nested Bethe ansatz

[Kulish, Reshetikhin 1983]

Two main ingredients:

- QQ-relations

$$\left| \begin{array}{cc} Q_1 \left( u + \frac{i}{2} \right) & Q_2 \left( u + \frac{i}{2} \right) \\ Q_1 \left( u - \frac{i}{2} \right) & Q_2 \left( u - \frac{i}{2} \right) \end{array} \right| = u^L$$

$$sl(2) \rightarrow psu(2, 2|4)$$

$$(Q_1, Q_2) \rightarrow \underbrace{(P_1, P_2, P_3, P_4)}_{S^5} \mid \underbrace{(Q_1, Q_2, Q_3, Q_4)}_{AdS_5}$$

- Analyticity

$Q_1$  - Twisted polynomial

$Q_2$  - Twisted polynomial

In N=4 polynomials are replaced with an analytic functions with cuts + monodromy condition (ask me later)

QQ-relations+monodromy = Quantum Spectral Curve (QSC)

# Motivation from AdS/CFT

Unlike 3-point functions, exact spectrum is under excellent control

Key tool – Quantum Spectral Curve

Gromov, Kazakov, Leurent, Volin 2013

Quantum Spectral Curve = QQ relations + analyticity constraints

## Many new results

all-loop

Gromov, Kazakov, Leurent, Volin 14  
Gromov, FLM, Sizov 13,14

numerical

Gromov, FLM, Sizov 15

perturbative

Marboe, Volin 14, 16, 17  
Marboe, Velizhanin, Volin 14

BFKL

Alfimov, Gromov, Kazakov 14  
Gromov, FLM, Sizov 15  
Alfimov, Gromov, Sizov to appear

## other models

Cavaglia, Fioravanti, Gromov, Tateo 14  
Gromov, Sizov 14  
Anselmetti, Bombardelli, Cavaglia, Tateo 15  
Cavaglia, Cornagliotto, Mattelliano, Tateo 15  
Bombardelli, Cavaglia, Fioravanti, Gromov, Tateo 17

Recently extended to quark-antiquark potential  
and ABJ theory

Gromov, FLM 15, 16  
Cavaglia, Gromov, FLM 16

Can we link it with correlation functions?

# Separation of Variables (SoV)

In **Sklyanin's separated variables** the wavefunction should factorize

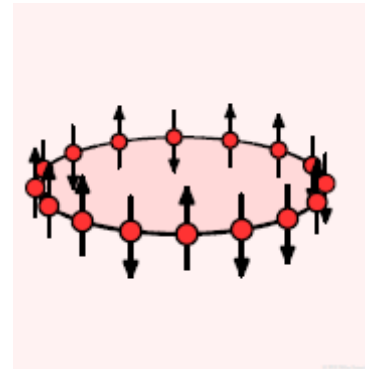
$$\Psi \sim Q(x_1)Q(x_2) \dots Q(x_n)$$

The building blocks are **Q-functions**

**Quantum Spectral Curve** provides them at **all loops !**

Could give a non-perturbative formulation for 3-pt functions

E.g. for SU(2) XXX spin chain  $Q(u) = \prod_i (u - u_i)$



SoV was already used efficiently for 3-point functions  
But only in essentially **rank-1** sectors like  $SU(2)$

Kazama, Komatsu 11, 12  
Kazama, Komatsu, Nishimura 13, 14, 15, 16  
Sobko 13  
Jiang, Komatsu, Kostov, Serban 15

- Need to extend SoV to full  $PSU(2, 2|4)$
- Need better descriptions for spin chain states



# Our results

New and simple construction of  $SU(N)$  spin chain eigenstates

Explicitly describe  $SoV$  for these spin chains

I will present two results linked by a common theme:

Sklyanin's **separation of variables** in integrable systems (SoV)

Sklyanin 91, 92

In separated variables the wavefunction **factorizes**

$$\Psi \sim Q(x_1)Q(x_2) \dots Q(x_n)$$

**Powerful method, many applications:**

spin chains, sigma models, AdS/CFT, ...

SoV should give access to **3-point functions**  
in dipole CFT and original N=4 SYM

So far used only in  
**rank-1** sectors like SU(2)

Kazama, Komatsu 11, 12  
Kazama, Komatsu, Nishimura 13 - 16  
Sobko 13  
Jiang, Komatsu, Kostov, Serban 15

$$\Psi \sim Q(x_1)Q(x_2) \dots Q(x_n)$$

Q's are known to **all loops** in N=4 SYM  
from the **Quantum Spectral Curve**

Gromov, Kazakov, Leurent, Volin 13

Could give a formulation for 3-pt functions  
alternative to [Basso, Komatsu, Vieira 2015]

- Need to extend SoV to full  $PSU(2, 2|4)$
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# Our results

New and simple construction of  $SU(N)$  spin chain eigenstates

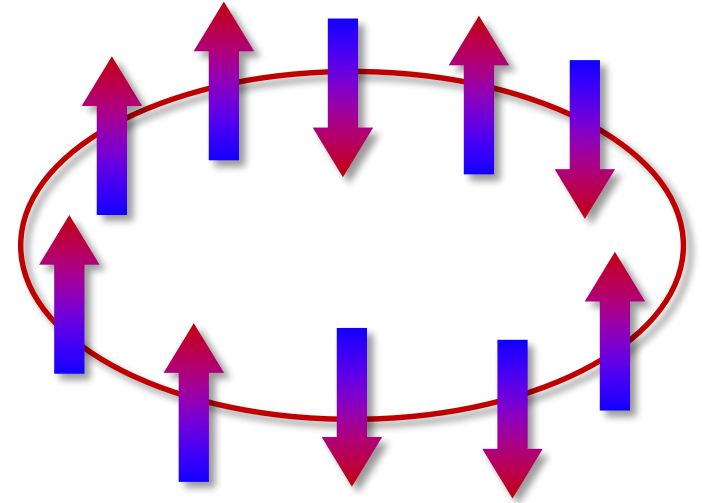
Explicitly describe  $SoV$  for these spin chains

# Integrable SU(N) spin chains

At each site we have a  $\mathbb{C}^N$  space

$$\text{Hamiltonian: } H = \sum_{n=1}^J (1 - P_{n,n+1})$$

(+ boundary twisted terms)



Related to [rational R-matrix](#)

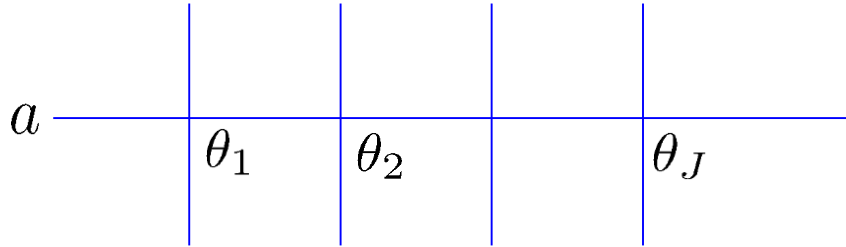
$$R_{12}(u) = (u - \frac{i}{2}) + iP_{12}$$

Spectrum is captured by [nested](#) Bethe ansatz equations

Sutherland 68; Kulish, Reshetikhin 83; ...

# The monodromy matrix

$$T(u) = R_{a1}(u - \theta_1) \dots R_{aJ}(u - \theta_J)g$$



We take **generic inhomogeneities**  $\theta_n$

and **diagonal twist**  $g = \text{diag}(\lambda_1, \dots, \lambda_N)$

Transfer matrix  $\text{Tr}_a T(u) = \sum_{n=0}^J T_n u^n$  gives commuting **integrals of motion**

We want to build their common eigenstates

# Construction of eigenstates

$SU(2)$  use a **creation operator** evaluated on the Bethe roots

$$|\Psi\rangle = B(u_1)B(u_2)\dots B(u_M)|0\rangle$$

$$T(u) = \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix}$$

$SU(N)$  no simple analog despite many efforts over 30 years

Sutherland, Kulish, Reshetikhin, Slavnov,  
Ragoucy, Pakouliak, Belliard,  
Mukhin, Tarasov, Varchenko, ...

We conjecture for any  $SU(N)$

$$|\Psi\rangle = B^{\text{good}}(u_1)B^{\text{good}}(u_2)\dots B^{\text{good}}(u_M)|0\rangle$$

Surprisingly simple !

$B^{\text{good}}(u)$  is an **explicit polynomial** in the monodromy matrix entries

The same operator also provides **separated variables**



**SU(2) case**

# Separated variables for SU(2)

$$|\Psi\rangle = B(u_1)B(u_2)\dots B(u_M)|0\rangle$$

$$B(u) = c \prod_{n=1}^J (u - x_n) \quad [B(u), B(v)] = 0 \quad \Longrightarrow \quad [x_m, x_n] = 0$$

$x_m$  are the separated coordinates

In their eigenbasis the wavefunction factorizes

$$\langle \mathbf{x}_1 \dots \mathbf{x}_J | \Psi \rangle = \prod (u_j - \mathbf{x}_k) = \prod_{k=1}^J Q_1(\mathbf{x}_k)$$

we normalize  
 $\langle \mathbf{x}_1 \dots \mathbf{x}_J | 0 \rangle = 1$

# Removing degeneracy

$$B(u) = C \prod_{n=1}^J (u - x_n) \quad \text{nilpotent, cannot be diagonalized}$$

$$T(u) \longrightarrow T^{\text{good}}(u) = K^{-1}T(u)K \quad K \text{ is a constant } 2 \times 2 \text{ matrix}$$

$$T^{\text{good}}(u) = \begin{pmatrix} A^{\text{good}}(u) & B^{\text{good}}(u) \\ C^{\text{good}}(u) & D^{\text{good}}(u) \end{pmatrix}$$

Gromov, Levkovich-Maslyuk, Sizov 16

All comm. rels are preserved, trace of  $T$  is unchanged

Now we can diagonalize  $B^{\text{good}}$

$$B^{\text{good}}(u) = C \prod_{n=1}^J (u - x_n) \quad \Longrightarrow \quad \text{separated variables } x_m$$

# Eigenstates from $B^{\text{good}}$

$$T^{\text{good}}(u) = K^{-1}T(u)K$$

$$T^{\text{good}}(u) = \begin{pmatrix} A^{\text{good}}(u) & B^{\text{good}}(u) \\ C^{\text{good}}(u) & D^{\text{good}}(u) \end{pmatrix}$$

Trace of  $T$  is unchanged

We can also build the states with  $B^{\text{good}}(u)$

$$|\Psi\rangle = B^{\text{good}}(u_1)B^{\text{good}}(u_2)\dots B^{\text{good}}(u_M)|0\rangle$$

Surprisingly it's true even for generic  $K$

## On-shell off-shell scalar product

$$\langle \Phi | \Psi \rangle = \langle 0 | C(v_1) \dots C(v_M) B^{\text{good}}(u_1) B^{\text{good}}(u_2) \dots B^{\text{good}}(u_M) | 0 \rangle$$

For  $K = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  We have  $B^{\text{good}} = A + B - C - D$

Since the on-shell state has exactly M spin-ups we can replace C with -Bgood

$$\langle \Phi | \Psi \rangle = \langle 0 | B^{\text{good}}(v_1) \dots B^{\text{good}}(v_M) B^{\text{good}}(u_1) B^{\text{good}}(u_2) \dots B^{\text{good}}(u_M) | 0 \rangle$$

Next we insert a complete set of eigenstates

$$\langle \Phi | \Psi \rangle = \sum_x \mu(x) \langle 0 | x \rangle \langle x | B^{\text{good}}(v_1) \dots B^{\text{good}}(v_M) B^{\text{good}}(u_1) B^{\text{good}}(u_2) \dots B^{\text{good}}(u_M) | 0 \rangle$$

Since the measure is essentially a Vandermond determinant:

$$\mu(x) = \left( \frac{\alpha \lambda_2}{\lambda_1 - \lambda_2} \right)^L \prod_{n=1}^L \left( \frac{x_n - \theta_n}{i/2} \right) \frac{\prod_{m < n}^L (\bar{x}_m - \bar{x}_n)}{\prod_{m < n}^L (\theta_m - \theta_n)}$$

We arrive to Slavnov's determinant formula almost for free

**SU(3)**

# Eigenstates for SU(3)

$T(u)$  is a 3 x 3 matrix

The operator which should provide separated variables is [Sklyanin 92]

$$B(u) = T_{23}(u)T_{12}(u-i)T_{23}(u) - T_{23}(u)T_{13}(u-i)T_{22}(u) \\ + T_{13}(u)T_{11}(u-i)T_{23}(u) - T_{13}(u)T_{13}(u-i)T_{21}(u) .$$

$$B(u) = C \prod_{n=1}^{3J} (u - x_n)$$

Again cannot be diagonalized

Replacing  $T_{ij} \rightarrow T_{ij}^{\text{good}}$  we get  $B^{\text{good}}$

$$T^{\text{good}} = K^{-1}TK$$

$$\text{e.g. } K = \begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}$$

It generates the eigenstates !

$$|\Psi\rangle = B^{\text{good}}(u_1) \dots B^{\text{good}}(u_M)|0\rangle$$

Gromov, FLM, Sizov 16

Conjecture supported by many tests

# Eigenstates for SU(3)

$$|\Psi\rangle = B^{\text{good}}(u_1) \dots B^{\text{good}}(u_M)|0\rangle \quad \text{Exactly like in SU(2)}$$

$$[B^{\text{good}}(z), B^{\text{good}}(w)] = 0$$

$u_j$  are the momentum-carrying Bethe roots  
fixed by usual nested Bethe equations

$$\begin{array}{l} \circ \\ | \\ \circ \end{array} \prod_{n=1}^L \frac{u_j - \theta_n + i/2}{u_j - \theta_n - i/2} = \frac{\lambda_2}{\lambda_1} \prod_{k \neq j}^M \frac{u_j - u_k + i}{u_j - u_k - i} \prod_{k=1}^R \frac{u_j - v_k - i/2}{u_j - v_k + i/2},$$

$$\prod_{n=1}^M \frac{v_j - u_n + i/2}{v_j - u_n - i/2} = \frac{\lambda_3}{\lambda_2} \prod_{k \neq j}^R \frac{v_j - v_k + i}{v_j - v_k - i}.$$

Separated variables are found from  $B^{\text{good}}(u) = C \prod_{n=1}^{3J} (u - x_n)$   
Factorization of wavefunction follows at once



# Comparison with known constructions

Usual nested algebraic Bethe Ansatz gives

$$|\Psi\rangle = \sum_{a_i=2,3} F^{a_1 a_2 \dots a_M} T_{1a_1}(u_1) T_{1a_2}(u_2) \dots T_{1a_M}(u_M) |0\rangle$$

↑  
wavefunction of  
auxiliary SU(2) chain

Sutherland,  
Kulish, Reshetikhin 83

$$T = \begin{pmatrix} T_{11} & T_{12} & T_{13} \\ T_{21} & T_{22} & T_{23} \\ T_{31} & T_{32} & T_{33} \end{pmatrix}$$

Our conjecture

$$|\Psi\rangle = B^{\text{good}}(u_1) \dots B^{\text{good}}(u_M) |0\rangle$$

- Only a single operator
- No recursion
- Complexity in # of roots is linear, not exponential

# Comparison with known constructions

Large literature on the construction of eigenstates

- representation as **sum over partitions** of roots

Belliard, Pakouliak,  
Ragoucy, Slavnov 12, 14

- **trace** formulas

Tarasov, Varchenko 94  
Mukhin, Tarasov, Varchenko 06  
Mukhin, Tarasov, Varchenko 07 ...

- **Drinfeld current** construction

Khoroshkin, Pakouliak 06  
Khoroshkin, Pakouliak, Tarasov 06  
Frappat, Khoroshkin, Pakouliak, Ragoucy 08  
Belliard, Pakouliak, Ragoucy 10  
Pakouliak, Ragoucy, Slavnov 14 ...

- ...

Albert, Boos, Frume, Ruhling 00 ...

Our proposal seems **much more compact**

We focussed on spin chains with  
fundamental representation at each site

Many of the results should apply more generally  
(using fusion procedure one can get any representation from the  
fundamental)

# Extension to $SU(N)$

# Classical B and quantization

In the classical limit  
for SU(N)

$$B = \sum_{i_1, \dots, i_{N-1}=1}^{N-1} \epsilon_{i_1 \dots i_{N-1}} T_{i_1 N} (T^2)_{i_2 N} \dots (T^{N-1})_{i_{N-1} N}$$

Scott 94  
Gekhtman 95

How to quantize this expression?

**SU(3) result:**  $B(u) = T_{13}(u)T_{12|13}(u - i) + T_{23}(u)T_{12|23}(u - i)$

$$T_{j_1 j_2 | k_1 k_2}(u) = \begin{vmatrix} T_{j_1 k_1}(u) & T_{j_1 k_2}(u + i) \\ T_{j_2 k_1}(u) & T_{j_2 k_2}(u + i) \end{vmatrix} \quad \text{quantum minors}$$

**SU(4):** make an ansatz, all coefficients fixed to 0 or 1 !

$$B(u) = \sum_{j,k} T_{j|4}(u) T_{k|j4}(u - i) T_{123|k4}(u - 2i)$$

# Results for SU(N)

We propose for any SU(N)

$$B(u) = \sum_{j, \dots, p} T_{j|N}(u) T_{k|jN}(u - i) \dots T_{12 \dots |pN}(u - (N - 2)i)$$

Gromov, FLM, Sizov 16

- Matches classical limit  $N \leq 6$
- Commutativity  $N \leq 5, J \leq 4$

Highly nontrivial checks !

We conjecture that  $B^{\text{good}}$  generates the states and separated variables

# Tests

$$|\Psi\rangle = B^{\text{good}}(u_1) \dots B^{\text{good}}(u_M)|0\rangle$$

Numerically: many states with  $J \leq 4$  ,  
all states with  $J \leq 2$  for  $SU(3), SU(4), SU(5)$

Huge matrices, e.g.  $4^4 \times 4^4 = 65536$  entries

No need for recursion  $SU(5) \rightarrow SU(4) \rightarrow SU(3) \rightarrow SU(2)$  !

$SU(3)$  : analytic proof for up to 2 magnons, any  $J$

For  $SU(N)$  quantum  $B$  which provides SoV was also proposed without construction of eigenstates in:

- [F. Smirnov 2001], would be interesting to compare
- [Chervov, Falqui, Talalaev 2006-7], fails for  $SU(4)$ ,  $J = 2$   
Based on Manin matrices, perhaps can be improved



# Supersymmetric spin chains

Our construction of eigenstates generalizes  
to at least some  $SU(M|N)$

[work in progress]

SOV is not known even for  $SU(1|1)$

# Future

- Rigorous proof, algebraic origins
- Can one extend **Slavnov's determinant** (on-shell/off-shell scalar product) beyond  $SU(2)$  ?
- **Higher-loop** guesses in AdS/CFT