

Fractional Laplacians

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dedicated to Prof. Nina Uraltseva

The Laplace operator

- For $u \in H^1(\mathbb{R}^n)$,

$$-\Delta u = - \sum_{j=1}^n \frac{\partial^2 u}{\partial x_j^2}$$

- Fourier transform: $\mathcal{F}[u](\xi) = \frac{1}{(2\pi)^{n/2}} \int_{\mathbb{R}^n} e^{-i\xi \cdot x} u(x) dx$. Then

$$\mathcal{F}[-\Delta u] = |\xi|^2 \mathcal{F}[u]$$

- For $\Omega \subset \mathbb{R}^n$, bounded, smooth, and $u \in H_0^1(\Omega)$,

$$-\Delta u = \sum_j \lambda_j \left(\int_{\Omega} u \varphi_j \right) \varphi_j$$

where let $\lambda_j, \varphi_j =$ eigenvalues/eigenvectors of $-\Delta$ on $H_0^1(\Omega)$.

The Dirichlet Laplacian of order $m > 0$

For $m > 0$, any positive real number, we introduce:

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$$\mathcal{F} [(-\Delta)_D^m u] = |\xi|^{2m} \mathcal{F}[u]$$

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$$\|u\|_{H^m}^2 = \int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2 + \int_{\mathbb{R}^n} |u|^2$$

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- for $\Omega \subset \mathbb{R}^n$ bounded, smooth,

$$\tilde{H}^m(\Omega) = \{u \in H^m(\mathbb{R}^n) \mid u \equiv 0 \text{ on } \mathbb{R}^n \setminus \bar{\Omega}\}$$

- the norm

$$\|u\|_{\tilde{H}^m}^2 = \int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2 \quad \text{on } \tilde{H}^m(\Omega)$$

The Navier Laplacian on $\Omega \subset \mathbb{R}^n$

$(-\Delta)_N^m := m^{\text{th}}$ power of $-\Delta$ in the sense of Spectral Theory

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- $H_N^m(\Omega) = \{u \in L^2(\Omega) \mid \int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2 < \infty\}$

- the norm $\|u\|_{H_N^m(\Omega)}^2 = \int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2$ on $H_N^m(\Omega)$.

The spaces $\tilde{H}^m(\Omega)$, $H_N^m(\Omega)$

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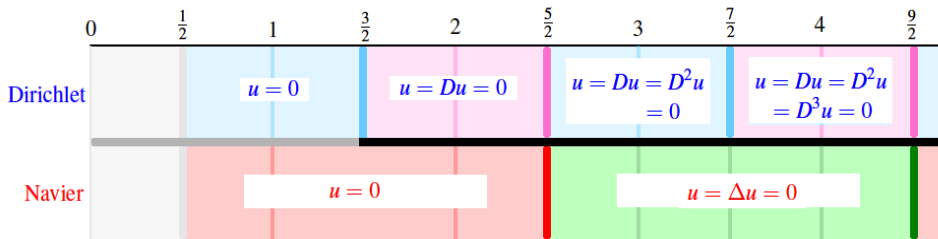
Proposition (Triebel)

$$\tilde{H}^m(\Omega) = H_N^m(\Omega) \quad \text{if} \quad 0 < m < \frac{3}{2}$$

$$\tilde{H}^m(\Omega) \subsetneq H_N^m(\Omega) \quad \text{if} \quad m \geq \frac{3}{2}$$

Examples: $\tilde{H}^1(\Omega) = H_0^1(\Omega) = H_N^1(\Omega)$

$\tilde{H}^2(\Omega) = H_0^2(\Omega)$, $H_N^2(\Omega) = H_0^1(\Omega) \cap H^2(\Omega)$



Comparing the Dirichlet and the Navier norms on the "smallest" space $\tilde{H}^m(\Omega)$

Trivial: $\int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2 = \int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2$ on $\tilde{H}^m(\Omega)$, if $m \in \mathbb{N}$

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For NOT integer orders they are DIFFERENT! Example:
take $u \in C_0^\infty(\Omega)$, assume $0 \in \Omega$, put

$$\boxed{u_h(x) := h^{\frac{n-2m}{2}} u(hx)}, \quad u_h \in \tilde{H}^m(\Omega), \quad (h \rightarrow \infty)$$

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Then $\int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u_h|^2 = \int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2$

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Theorem (RM-A.I. Nazarov, CPDE 2014 and preprint arXiv 2014)

Let $m \notin \mathbb{N}_0$, $k \in \mathbb{N}_0$, $u \in \tilde{H}^m(\Omega)$, $u \neq 0$.

- $\int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2 > \int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2$ if $2k < m < 2k + 1$
- $\int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2 < \int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2$ if $2k + 1 < m < 2k$

Proof.

Step 1:

$$0 < m < 1 \quad \Rightarrow \quad \int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2 > \int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2$$

the argument is based on the "extension argument"

$$u = u(x) : \Omega \subset \mathbb{R}^n \rightarrow \mathbb{R} \quad \rightsquigarrow \quad w = w(x, y) : \mathbb{R}^n \times \mathbb{R}_+ \rightarrow \mathbb{R}$$

[Caffarelli-Silvestre, CPDE 2007] [Stinga-Torrea, CPDE 2010]

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Consider the energy

$$\mathcal{E}(w) = \int_0^{\infty} \int_{\mathbb{R}^n} y^{1-2m} |\nabla w|^2$$

for functions w in

$$\mathcal{W}^D(u) = \left\{ w \mid \mathcal{E}(w) < \infty, w(\cdot, 0) = u \right\}$$

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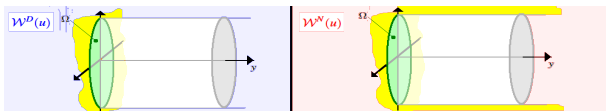
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Solve the minimization problems

$$w_u^D \mapsto \inf_{\mathcal{W}^D(u)} \mathcal{E}$$

$$w_u^N \mapsto \inf_{\mathcal{W}^N(u)} \mathcal{E}$$

Lemma (Caffarelli-Silvestre; Stinga-Torrea)

$$\int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2 = c_m \mathcal{E}(w_u^D)$$

$$\int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2 = c_m \mathcal{E}(w_u^N)$$

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But $\mathcal{W}^N(u) \subset \mathcal{W}^D(u)$, hence, trivially,

$$\mathcal{E}(w_u^N) \geq \mathcal{E}(w_u^D) \Rightarrow \int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2 \geq \int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2$$

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If equality holds, then $w_u^D = w_u^N =: w$ solves:

$$\begin{cases} -\operatorname{div}(y^{1-2m} \nabla w) = 0 & \text{in } \mathbb{R}^n \times \mathbb{R}_+ \\ w(\cdot, 0) = u \text{ on } \mathbb{R}^n, \quad w \equiv 0 & \text{on } (\mathbb{R}^n \setminus \bar{\Omega}) \times \mathbb{R}_+ \end{cases}$$

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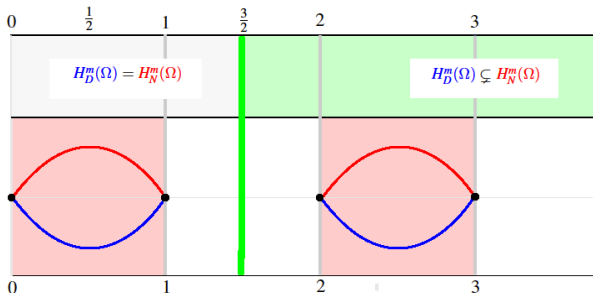
Thus, $w \equiv 0$ i.e. $u \equiv 0$.

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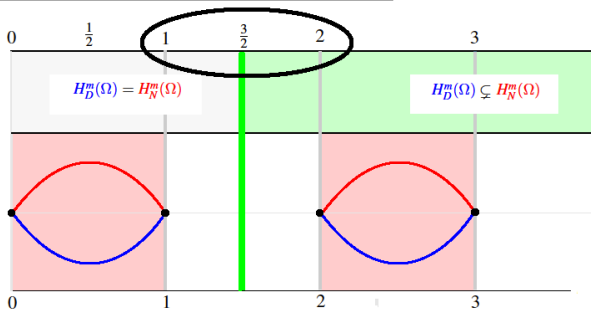


for instance, if $2 < m < 3$,

$$\int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2 = \int_{\Omega} |(-\Delta)_N^{\frac{m-2}{2}} (-\Delta u)|^2 \boxed{\geq} \int_{\Omega} |(-\Delta)_N^{\frac{m-2}{2}} (-\Delta u)|^2 = \int_{\Omega} |(-\Delta)_D^{\frac{m}{2}} u|^2$$

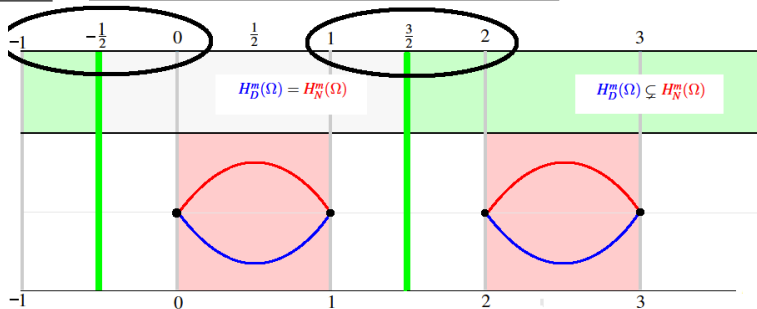
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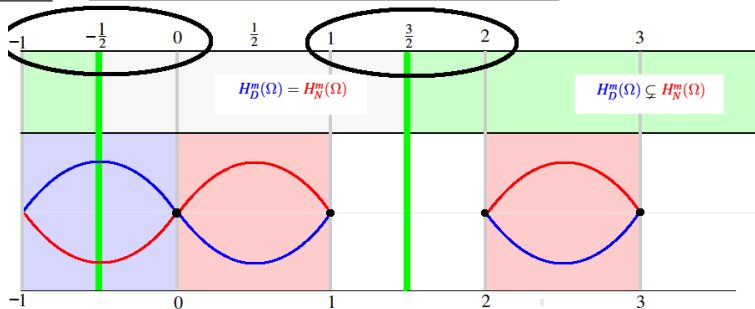
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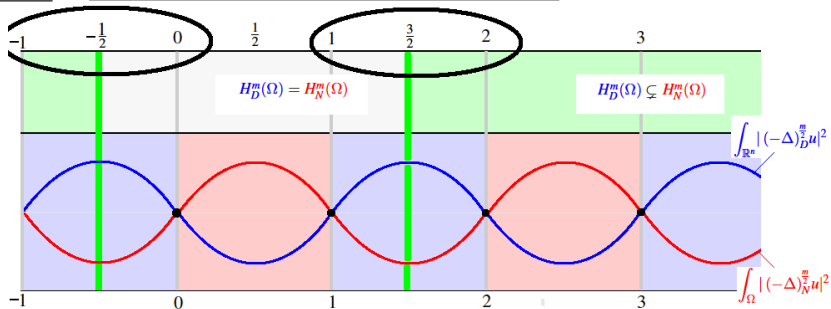
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Fix $u \in \tilde{H}^m(\Omega) \hookrightarrow H^m(\mathbb{R}^m) \equiv (H^{-m}(\mathbb{R}^n))'$

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$$\tilde{\mathcal{E}}(w) = \underbrace{\int_0^\infty \int_{\mathbb{R}^n} y^{1-2m} |\nabla w|^2}_{\mathcal{E}(w)} - 2 \langle u, w|_{y=0} \rangle,$$

on the sets of functions $w = w(x, y) : \mathbb{R}^n \times \mathbb{R}_+ \rightarrow \mathbb{R}$,

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$$\widetilde{\mathcal{W}}^D(u) \subset \left\{ w \mid \mathcal{E}(w) < \infty \right\} \quad \text{suitably defined, or in}$$

$$\widetilde{\mathcal{W}}^N(u) = \left\{ w \in \widetilde{\mathcal{W}}^D \mid w(x, \cdot) \equiv 0 \text{ if } x \notin \overline{\Omega} \right\}$$

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Lemma

$$\int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2 = -\tilde{c}_m \tilde{\mathcal{E}}(\tilde{w}_u^D)$$

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But $\tilde{\mathcal{W}}^N(u) \subset \tilde{\mathcal{W}}^D(u)$, hence, trivially,

$$0 \geq \tilde{\mathcal{E}}(\tilde{w}_u^N) \geq \tilde{\mathcal{E}}(\tilde{w}_u^D) \Rightarrow \int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2 \leq \int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2$$

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Distributional inequalities

Theorem ([RM-A.I. Nazarov, CPDE 2014])

Assume $0 < m < 1$. Let $u \in \tilde{H}^m(\Omega)$, $u \neq 0$.

If $u \geq 0$ then $(-\Delta)_N^m u > (-\Delta)_D^m u$ in $\mathcal{D}'(\Omega)$

Extension to $m > -1$ in [M-Nazarov, preprint arXiv 2014]

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Main ingredients in the proof.

- 1) Formula relating the Laplacians of a smooth u with the "weighted normal derivatives" of its extensions,
[Caffarelli-Silvestre], [Stinga Torrea]
- 2) a fine "boundary point lemma" for singular elliptic equations by

Alvarado, Brigham, Maz'ya, Mitrea and Ziadé

[Probl. Mat. Anal. 2011] (Russian); [J. Math. Sci. 2011] (English tr.)



”How much” the Dirichlet and Navier norms differ?

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$$\boxed{u_h(x) := h^{\frac{n-2m}{2}} u(hx)}, \quad u_h \in \tilde{H}^m(\Omega), \quad (h \rightarrow \infty)$$

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Theorem (RM-A.I. Nazarov, CPDE 2014 and preprint arXiv 2014)

$$\int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2 = \lim_{h \rightarrow \infty} \int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u_h|^2$$

$n > 2m$: Sobolev and Hardy constants

The **Sobolev** and the **Hardy** constants are defined by:

$$\mathcal{S}_m := \inf_{u \in H^m(\mathbb{R}^n)} \frac{\int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2}{\|u\|_{\frac{2n}{n-2m}}^2}, \quad \mathcal{H}_m := \inf_{u \in H_D^m(\mathbb{R}^n)} \frac{\int_{\mathbb{R}^n} |(-\Delta)_D^{\frac{m}{2}} u|^2}{\| |x|^{-m} u \|_2^2}$$

We introduce the **Navier-Sobolev** and the **Navier-Hardy** constants by

$$\mathcal{S}_m^N(\Omega) := \inf_{u \in H_N^m(\Omega)} \frac{\int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2}{\|u\|_{\frac{2n}{n-2m}}^2}, \quad \mathcal{H}_m^N(\Omega) := \inf_{u \in H_N^m(\Omega)} \frac{\int_{\Omega} |(-\Delta)_N^{\frac{m}{2}} u|^2}{\| |x|^{-m} u \|_2^2}$$

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Theorem (RM-A.I. Nazarov, CPDE 2014 and II preprint arXiv 2014)

$$\mathcal{S}_m^N(\Omega) = \mathcal{S}_m, \quad \mathcal{H}_m^N(\Omega) = \mathcal{H}_m$$