INSTITUT HENRI POINCARÉ

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## Introduction to Cosserat continuum theory with some applications in geomechanics

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## Historical note

## •Cosserat, E. and F. *Théorie des Corps Déformables*. A. Hermann et Fils, Paris (1909).

Fifty years after the first publication of the original work of the brothers **Cosserat, Eugène and François** in 1909, the basic kinematic and static concepts of the "Cosserat" continuum were reworked in a milestone paper Professor **Günther** (1958).

Günther, W. (1958). Zur Statik und Kinematik des Cosseratschen Kontinuums. In: Abhandlungen der Braunscheigschen Wissenschaftlichen Gesellschaft, Göttingen, Verlag E. Goltze, 101, 95-213.

Günther's paper marks the rebirth of continuum micro-mechanics in the late 50's and early 60's. The state-of-the-art at this time was reflected in the collection of papers presented at the historical IUTAM Symposium on the *''Mechanics of Generalized Continua''*, held in Freudenstadt and Stuttgart in 1967

- Schaeffer, H. (1962). Versuch einer Elastizitätstheorie des zweidimensionalen ebenen COSSERAT-Kontinuums. Miszellannenn der Angewandten Mechanik. Akademie Verlag, Berlin.
- Schaeffer, H. (1967). Das Cosserat-Kontinuum. ZAMM, **47/8**, 485-498.
- Kessel, S. (1964). Lineare Elastizitätstheorie des anisotropen Cosserat-Kontinuums. In: Abhandlungen der Braunscheigschen Wissenschaftlichen Gesellschaft, Göttingen, Verlag E. Goltze, **16**, 1-22.
- Koiter, W. T. (1964). Couple-stresses in the Theory of Elasticity. I & II. Proc. Ned. Akad. Uet., **67**, 17-29 & 30-44.
- Mindlin, R.D., (1964). Microstructure in linear elasticity. Arch. Rat. Mech. Anal., **10**, 51-77.
- Mindlin, R.D. and Eshel, N.N. (1968). On first strain-gradient theories in linear Elasticity. Int. J. Solids Structures, **4**,109-124.

#### **Significance of couple stresses and higher-order stresses?**

Germain, P. (1973a). La méthode des puissances virtuelles en mécanique des milieux continus. Part I Journal de Mécanique, 12, 235-274.
Germain, P. (1973b). The Method of virtual power in continuum mechanics. Part 2: Microstructure. SIAM, J. Appl. Math, 25, 556-575.

Why should we resort to continuum higher order theories?

#### Limits of application of classical continuum theories Influence of microstructure

Large scale description (homogeneisation)

Discontinuous media

Granular materials (soils, rocks, powders) Fractured or stratified rock mass

#### **REV** >> size of discontinuities

Limits of classical continuum models in presence of high stress and/or strain gradients

Contact problems Fracture mechanics Strain localisation phenomena Interfaces







According to Mindlin (1963):

For highly inhomogeneous stresses (stress concentration zones)

-stress gradients affect the failure mechanism -strain gradients affect the apparent strength "...increasing strain gradients appear to make some materials stronger to a degree that depend upon grain size..."

Necessity of an internal length in the constitutive models

Frame of modelling : generalised (higher order) continuum theories

-richer kinematics description-material length scale related to the fabric length

Basic formalism for Generalised continuum *Mindlin (1964), Germain(1973)*  Classical continuum : Assembly material points X

Generalised continuum : a micro-volume C(X) (continuum) is attached to each material points



Macro-déplacement :  $\Delta \mathbf{u} = \overline{\mathbf{x}} - \mathbf{x}$ 

Micro-déplacement :  $\Delta \mathbf{u'} = \overline{\mathbf{x'}} - \mathbf{x'}$ 

#### Kinematics:

 $\frac{macro-deformation}{\nabla v}$  of the macro-particle **X** 

<u>macro-rotation</u> :  $\omega$  antisymmetric part of the velocity gradient  $\nabla v$  of the macro-particle X

<u>micro-deformation</u> :  $\psi$  deformation of the micro-volume C(X) (assumed homogeneous in C(X) for micromorphic medium of order 1)

<u>relative deformation</u> :  $\gamma = \nabla \mathbf{v} \cdot \boldsymbol{\psi}$  difference between the of the velocity gradient and the micro-deformation

<u>micro-deformation gradient</u> :  $\kappa = \nabla \psi$  (3rd order tensor)

Definition of the conjugate static quantities trough the principle of virtual work

Virtual work of internal forces:

$$\delta w^{(i)} = \tau_{ij} \delta \epsilon_{ij} + \alpha_{ij} \gamma_{ij} + \mu_{ijk} \delta \kappa_{ijk}$$

 $\tau\,$  : Cauchy stress tensor (symmetric) dual in energy to the macroscopic strain  $\epsilon$ 

 $\alpha\,$  : Relative stress tensor dual in energy to the relative deformation  $\gamma\,$ 

 $\boldsymbol{\mu}$  : Double stress tensor dual in energy to the micro-deformation gradient

total stress tensor :  $\sigma = \tau + \alpha$ 

$$\delta w^{(i)} = \sigma_{ij} \partial_i \delta u_j - \alpha_{ij} \delta \psi_{ij} + \mu_{ijk} \delta \kappa_{ijk}$$

Micromorphic continuum of order 1

Cosserat continuum: The micro-volume C(X) moves as a rigid body.
 kinematics of a material point : translation and rotation ω<sup>c</sup> micro-deformation : antisymmetric tensor

relative deformation  $\psi = \omega^{c}$  $\gamma = \varepsilon + (\omega - \omega^{c})$ 

Principle of virtual work

 $\begin{array}{l} \epsilon\leftrightarrow\sigma^s \text{ symmetric part of the total stress}\\ \omega-\omega^c\leftrightarrow\sigma^a \text{ antisymmetric part of the stress}\\ \nabla\omega^c\leftrightarrow\mu \text{ couple stress tensor} \end{array}$ 

In classical beam-bending theory the microelement is the cross section of the beam with 2 d.o.f., vertical displacement + rotation.



#### **Basic concepts of a 2D-Cosserat continuum**

*Kinematics of a 2D-Cosserat continuum*: 2 DOF translation  $u_1$ ,  $u_2$ 1 DOF rotation  $\omega^{c}$ Description of deformation  $\gamma_{11} = \partial u_1 / \partial x_1$ ;  $\gamma_{12} = \partial u_1 / \partial x_2 + \omega^c$  $\gamma_{22} = \partial u_2 / \partial x_2$ ;  $\gamma_{21} = \partial u_2 / \partial x_1 - \omega^c$  $\kappa_i = \partial \omega^c / \partial x_i, i = 1,2$ 

#### Dual static quantities

4 components for the stress tensor  $\sigma_{ij}$  (non symmetric) 2 couple stresses  $m_1$ ,  $m_2$ 



LOCAL DYNAMIC EQUATIONS

$$\sigma_{11,1} + \sigma_{12,2} - \rho \ddot{u}_1 = 0$$
  
$$\sigma_{21,1} + \sigma_{22,2} - \rho \ddot{u}_2 = 0$$
  
$$m_{1,1} + m_{2,2} + \sigma_{21} - \sigma_{12} - I \ddot{\omega}^c = 0$$

**BOUNDARY CONDITIONS** 

on 
$$\partial V_u \quad v_i = \dot{\delta}_i \quad ; \quad \dot{\omega}^c = \dot{\Omega}^c$$
  
on  $\partial V_\sigma \quad \sigma_{ij} n_j = t_i \quad ; \quad m_i n_i = m$ 

# Some examples for 2D Cosserat elasticity

#### The boundary layer effect Simple shear of a long layer



for 
$$x_2 = 0$$
:  $u_1 = u_{10}; \omega^c = \omega_0^c$   
for  $x_2 = H >> \ell : u_1 = 0; \omega^c = \omega = \omega_H; \omega_H = -\tau/(2G)$ 

$$u^{*} \approx \frac{u_{0}^{*}}{1-\eta} \left\{ 1 - \left( \eta / \alpha^{2} \right) x - \eta e^{-x} \right\} + \frac{\eta \omega_{c}^{0}}{1-\eta} \left\{ 1 - \left( \eta / \alpha^{2} \right) x - e^{-x} \right\}$$
$$\omega^{c} \approx \omega_{H} + \left( u_{0}^{*} + \omega_{0}^{c} \right) \frac{e^{-x}}{1-\eta}$$

Material length  $\ell = \sqrt{M/G}$ Coupling number  $\alpha = 1/\sqrt{1+G/G^c}$ Scaling factor  $\eta = (\alpha \ell)/(2H) \ll 1$ Dimensionless coordinate  $x = -2\alpha x_2 / \ell$ Dimensionless imposed displacement  $u_0^{+} = u_{10} / 2H$  $u^* = u_1 / 2H$ Dimensionless displacement Example:  $(G^{c}/G = 2.0, \ell/H = 0.1)$ (a) (b) (a)  $\omega_c^0 = -u_0^* = -0.01$ 0.002 0.004 0.006 0.008 0.01 0  $(b)\omega_{c}^{0} = -10u_{0}^{*} = -0.1$  $u_1/2H$ 

-0.2

-0.4

-0.6

-0.8



**Plane-strain Couette apparatus for sand** (Corfdir, A., Lerat, P. and Vardoulakis, I. A cylinder shear apparatus. *Geotechnical Testing Journal*, 2004)



#### Scale effect in indentation tests



Axisymmetric problem:

Elastic Cosserat half-space

Uniform loading on a circular surface of radius a

Analytical solution using Hankel transforms

The apparent stiffness of the medium increases with decreasing ratio a/l

## From discrete to continuum

#### 1D-Example Masonry column

Mühlhaus, Sulem, Unterreiner, 1997



#### 1D-Cosserat continuum:

$$Q = G(\frac{du}{dx} - \phi) \quad \text{et} \quad M = B\frac{d\phi}{dx} \quad \text{Elastic constitutive equations}$$
$$E = Q(\frac{du}{dx} - \phi) + M\frac{d\phi}{dx} \quad \text{Elastic energy}$$

Identification of the elastic energy of the discrete medium and of the corresponding Cosserat continuum:

$$\mathbf{B} = \frac{4}{\pi} \mathbf{c}_{\mathrm{M}} \mathbf{h} \qquad \mathbf{G} = \frac{4}{\pi} \mathbf{c}_{\mathrm{Q}} \frac{\mathbf{h}}{\mathbf{d}^2}$$

#### Dispersion functions for the continuum and for the discrete structure



#### DISCONTINUOUS ROCK MASS



•Block structures

•Stratified structures



Structure de blocs, Masada, Israël Dr. Y. Hatzor personnal web page http://www.bgu.ac.il/geol/hatzor/

#### STRATIFIED ROCK MASS

#### Interlayer slip and bending moments



#### Cosserat representation of stratified media

Biot 1967, Zvolinskii and Shkhinek 1984, Vardoulakis & Sulem 1995, Mühlhaus and co-workers 1988, 1993, 1999

#### ELASTICITE

Elastic parameters of the equivalent Cosserat continuum E, v :Young modulus Posson ratio of the rock matrix  $k_n, k_s$  : Normal and tangential stiffness of the joints b : layer thickness

#### Elastic relationships

$$\begin{split} \sigma_{11} &= C_{11}\gamma_{11} + C_{12}\gamma_{22} \\ \sigma_{22} &= C_{21}\gamma_{11} + C_{22}\gamma_{22} \\ \sigma_{12} &= \left[G + G_{c}(1 - \alpha)\right]\gamma_{12} + \left[G - G_{c}\right]\gamma_{21} \\ \sigma_{21} &= \left[G - G_{c}\right]\gamma_{12} + \left[G + G_{c}(1 + \alpha)\right]\gamma_{21} \\ m_{1} &= M_{1}\kappa_{1} \\ m_{2} &= M_{2}\kappa_{2} \end{split}$$

$$C_{11} = \frac{E}{1 - v^2 - \frac{v^2(1 + v)^2}{1 - v^2 + E/(k_n)}}$$

$$C_{22} = \frac{(1 - v)E}{(1 + v)(1 - 2v) + (1 - v)E/(k_n)}$$

$$C_{12} = C_{21} = \frac{vE}{(1 + v)(1 - 2v) + (1 - v)E/(k_n)}$$

$$G = \frac{E}{8(1 + v)} \left[ \frac{5k_s + E/(2(1 + v))}{k_s + E/(2(1 + v))} \right]; G_c = \frac{E}{8(1 + v)}; \alpha = 2$$

$$M_1 = \frac{Eb^2}{12(1 - v)} \left[ \frac{E/(2(1 + v))}{k_s + E/(2(1 + v))} \right]; M_2 = 0$$

#### TOPPLING FAILURE OF FOLIATED ROCK SLOPE

Goodman & Bray 1976, Hoek & Bray 1977, Zanbak

1990, Bobet 1999, Merrien-Soukatchoff et al 2001...

1983, Adhikary et al. 1995, Pritchard & Savigny



Flexural toppling :flexion on slender rock columns tensile failure

Block toppling and sliding on a pre-existing basal plane



#### IDENTIFICATION OF A FAILURE SURFACE FOR LIMIT EQUILIBRIUM ANALYSIS





 $\begin{array}{ll} m_{zx} & \text{is the Cosserat couple stress} \\ b & \text{is the thickness of the layer} \\ \sigma_{xx} & \text{is the microscopic stress} \end{array}$ 



#### BLOCKY and MASONRY STRUCTURES Besdo, 1995, Mühlhaus et al, 1993,1996, Sulem & Mühlhaus 1997



Elastic Interfaces:

$$Q_{kl} = c_Q \Delta u_{kl}$$
$$N_{kl} = c_N \Delta v_{kl}$$

Elastic energy

$$E_{d} = \frac{1}{4ab} \sum \left( Q_{kl} \Delta u_{kl} + N_{kl} \Delta v_{kl} \right)$$

#### EQUIVALENT COSSERAT CONTINUUM

#### Elastic energy of the Cosserat continuum

$$E_{c} = \sigma_{11}\gamma_{11} + \sigma_{12}\gamma_{12} + \sigma_{21}\gamma_{21} + \sigma_{22}\gamma_{2} + m_{1}\kappa_{1} + m_{2}\kappa_{2}$$

Identification of the elastic parameters

$$\sigma_{11} = C_{11}\gamma_{11} + C_{12}\gamma_{22}$$
  

$$\sigma_{22} = C_{21}\gamma_{11} + C_{22}\gamma_{22}$$
  

$$\sigma_{12} = [G + G_{c}(1 - \alpha)]\gamma_{12} + [G - G_{c}]\gamma_{21}$$
  

$$\sigma_{21} = [G - G_{c}]\gamma_{12} + [G + G_{c}(1 + \alpha)]\gamma_{21}$$
  

$$m_{1} = M_{1}\kappa_{1}$$
  

$$m_{2} = M_{2}\kappa_{2}$$

$$C_{11} = (c_{Q} + 2c_{N})\frac{a}{b}; C_{22} = c_{N}\frac{b}{a}$$

$$C_{12} = C_{21} = 0$$

$$G = G_{c} = \frac{1}{4} \left[ c_{Q}\frac{b}{a} + (c_{N} + 2c_{Q})\frac{a}{b} \right]$$

$$\alpha = 2\frac{a^{2}(c_{N} + 2c_{Q}) - c_{Q}b^{2}}{a^{2}(c_{N} + 2c_{Q}) + c_{Q}b^{2}}$$

$$M_{1} = \frac{a}{b} \left[ c_{N}\frac{a^{2}}{4} + c_{Q}\left(\frac{b^{2}}{4} + 2a^{2}\right) \right]$$

$$M_{2} = \frac{b}{a} \left[ c_{N}\frac{a^{2}}{4} + c_{Q}\frac{b^{2}}{4} \right]$$

#### **DISPERSION FUNCTION**



#### **EXTENSION TO ELASTO-PLASTIC JOINTS**



#### **EXAMPLES OF APPLICATION**

Stability of a rectangular excavation in blocky rock

Dai et al, Int. J. Rock Mech Min Sci. 1996

Zones of tilting Zones of sliding



Stabilité de pente dans un massif de blocs Sulem & Cerrolaza, GeoEng. 2000









#### Foundation on blocky rock

Sulem, 2001







(a)

(b)

#### Zones of tilting



#### COSSERAT HOMOGENISATION OF GRANULAR MEDIA

Mühlhaus&Vardoulakis, 1987, Vardoulakis & Sulem 1995, Bardet & Vardoulakis, 2001, Ehlers et al, 2003, Kruyt, 2003, Gardiner & Tordesillas, 2004, Chang & Kuhn, 2005

- The homogenisation of contact stresses is still an open question
- •No unique expression for the expression of the virtual work
- •No unique expression for the representative stresses

REV with boundary  $\partial \mathcal{R}$ 



•Nature of granular contacts ? (anisotropy)

•Homogenisation procedure to relate macroscopic stresses and couple stresses to contact forces

•Stresses are related to the forces acting on the particles

•Couple stresses are related to the moments resulting from the reduction of the contact forces towards the particle centers

•The diameter of the REV should be small enough (5 particles diameter) (otherwise the results from localisation are smeared out)

•Non-symmetric stress tensors and couple stresses in the shear band zones.

### LOCALISATION OF DEFORMATIONS IMPORTANCE OF THE MICROSTRUCTURE

- Limitations of classical elasto-plastic theories
- •The thickness of the zone of strain localisation is undeterminate
- •Ill-posedness of the underlying mathematical problem in the post-bifurcation regime
- •Mesh sensitivity of finite element simulations
- •Regularization for robust calculations with higher order continuum theories (length scale)
- •Important grain rotations inside the shear band (experimental observations, DEM computations)

The Mühlhaus-Vardoulakis (1997) Cosserat plasticity model *Bodganova & Lippmann, 1975* 

Extension of classical flow theory of plasticity to Cosserat continuum through appropriate generalisation of stress and strain invariants

Example for 2D continuum

$$p = \sigma_{kk} / 2$$
  

$$\tau = \sqrt{h_1 s_{ij} s_{ij} + h_2 s_{ij} s_{ji} + \frac{h_3}{R^2} m_i m_i}; h_1 + h_2 = \frac{1}{2}$$
  

$$\dot{\gamma}^p = \sqrt{g_1 \dot{g}_{ij}^p \dot{g}_{ij}^p + g_2 \dot{g}_{ij}^p \dot{g}_{ji}^p + \frac{g_3}{R^2} \dot{\kappa}_i^p \dot{\kappa}_i^p}; g_1 + g_2 = 2$$

 $C \\ \text{OULOMB YIELD SURFACE}$ 

$$F = \tau + \mu p = 0$$
 ( $p < 0$  in compression)  
 $\mu$  MOBILISED FRICTION COEFFICIENT

**PLASTIC POTENTIAL** 

$$Q = \tau + \beta p = 0$$
  
 $\beta$  MOBILISED DILATANCY COEFFICIENT

#### SHEAR BAND ANALYSIS IN A COSSERAT CONTINUUM - BIAXIAL TEST

Mühlhaus&Vardoulakis, 1987, Vardoulakis & Sulem 1995 Tordesillas et al 2002

Governing equations Equations of equilibrium

$$\partial_{1}\dot{\sigma}_{11} + \partial_{2}\dot{\sigma}_{12} + (\sigma_{1} - \sigma_{2})\partial_{2}\dot{\omega}_{21} = 0$$
  
$$\partial_{1}\dot{\sigma}_{21} + \partial_{2}\dot{\sigma}_{22} + (\sigma_{1} - \sigma_{2})\partial_{1}\dot{\omega}_{21} = 0$$
  
$$\partial_{1}\dot{m}_{1} + \partial_{2}\dot{m}_{2} + \dot{\sigma}_{21} - \dot{\sigma}_{12} = 0$$

Incremental constitutive equations



with 
$$G^{c} = \frac{1}{2(h_{1} - h_{2})}G$$
;  $M = \frac{1}{h_{3}}GR^{2}$ 

Initial state : homogeneous rectilinear deformation inside the sample

→ zero couple stress  $m_i \equiv 0$  (i=1,2) → zero Cosserat rotation  $\omega^c \equiv 0$ 

Shear band solution

We search for periodic solutions

$$\vec{n} = \begin{pmatrix} n_1 \\ n_2 \end{pmatrix} \begin{pmatrix} -\sin \theta \\ \cos \theta \end{pmatrix}$$
$$x = n_2 x_1 - n_1 x_2$$
$$y = n_1 x_1 + n_2 x_2$$



$$v_{1} = c_{1}d_{B} \sin(\lambda y/d_{B})$$
$$v_{2} = c_{2}d_{B} \sin(\lambda y/d_{B})$$
$$\dot{\omega}^{c} = c_{3}\cos(\lambda y/d_{B})$$

#### **Boundary conditions:**

Continuity of couple stress across shear band boundaries outside the shear-band couple stresses are zero



 $\Gamma$  is the acoustic tensor

 $det(\Gamma) = 0$  is the bifurcation condition for the classical approach

The internal length of the Cosserat continuum can be estimated from the thickness of shear bands

#### Example: Biaxial test on a fine Dutch dune sand



For states past the bifurcation point the deformation localises rapidly

Vardoulakis & Sulem, (1995), Bifurcation analysis in geomechanics

#### BOREHOLE STABILITY ANALYSIS

Papanastasiou & Vardoulakis, 1992

- •Strain localisation
- •Surface instability
- •Post-localisation analysis
- •Scale effect



#### Robust post-localisation computations

#### (Papamichos & Vardoulakis)

## Red Wildmoor sandstone - Cosserat elastoplastic model *(Sulem et al 1999)*



#### Scale effect (from Papamichos et al 1997)



#### CONCLUSIONS

- •Important developments in the last 20 years in Cosserat plasticity
- •Many applications for structured materials
- •Useful tool for localisation and post localisation analysis
- •Modelling of scale effect
- •Extension of standard FE codes to include Cosserat structures
- •Calibration of additional parameters (Cosserat shear modulus, internal length)
- •Open questions for the transition between micromechanics of granular ensemble and macroscopic representation with Cosserat continuum