Straight homotopy invariants

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Abstract

Let X and Y be spaces and M be an abelian group. A homotopy invariant $f\colon [X,Y]\to M$ is called straight if there exists a homomorphism $F\colon L(X,Y)\to M$ such that $f([a])=F(\langle a\rangle)$ for all $a\in C(X,Y)$. Here $\langle a\rangle\colon \langle X\rangle\to \langle Y\rangle$ is the homomorphism induced by a between the abelian groups freely generated by X and Y and L(X,Y) is a certain group of "admissible" homomorphisms. We show that all straight invariants can be expressed through a "universal" straight invariant of homological nature.

§ 1. Introduction

We define straight homotopy invariants of maps and give their characterization, which reduces them to the classical homology theory. Straight invariants are a variant of the notion of homotopy invariants of degree at most 1 [10, 8, 9, 11]. This variant has especially simple homological characterization. Homotopy invariants of finite degree are a homotopy analogue of Vassiliev invariants [8].

The group L(X,Y). For a set X, let $\langle X \rangle$ be the (free) abelian group with the basis $X^{\sharp} \subseteq \langle X \rangle$ endowed with the bijection $X \to X^{\sharp}$, $x \mapsto \langle x \rangle$. For sets X and Y, let $L(X,Y) \subseteq \operatorname{Hom}(\langle X \rangle, \langle Y \rangle)$ be the subgroup generated by the homomorphisms u such that $u(X^{\sharp}) \subseteq Y^{\sharp} \cup \{0\}$. (Elements of L(X,Y) are the homomorphisms bounded with respect to the ℓ_1 -norm.) A map $a: X \to Y$ induces the homomorphism $\langle a \rangle \in L(X,Y)$, $\langle a \rangle (\langle x \rangle) = \langle a(x) \rangle$.

Straight homotopy invariants. Let X and Y be spaces, M be an abelian group, and $f: [X,Y] \to M$ be a map (a homotopy invariant). The invariant f is called *straight* if there exists a homomorphism $F: L(X,Y) \to M$ such that $f([a]) = F(\langle a \rangle)$ for all $a \in C(X,Y)$.

(If M is divisible, the group L(X,Y) can be replaced here by $\operatorname{Hom}(\langle X \rangle, \langle Y \rangle)$ because any homomorphism $L(X,Y) \to M$ extends to $\operatorname{Hom}(\langle X \rangle, \langle Y \rangle)$ in this case. In general, this replacement is inadequate. For example, let X and Y be circles. Then the invariant "degree" $[X,Y] \to \mathbb{Z}$ is straight by Theorem 1.1 (or Corollary 6.8). At the same time, every homomorphism $F \colon \operatorname{Hom}(\langle X \rangle, \langle Y \rangle) \to \mathbb{Z}$ factors through the restriction homomorphism $\operatorname{Hom}(\langle X \rangle, \langle Y \rangle) \to \operatorname{Hom}(\langle T \rangle, \langle Y \rangle)$ for some finite set $T \subseteq X$ [2, § 94]. Thus F cannot give rise to a non-constant homotopy invariant.)

The main invariant $h: [X, Y] \to [SX, SY]$. For a space X, let SX be its singular chain complex. Let X and Y be spaces. Let [SX, SY] be the group

of homotopy classes of morphisms $SX \to SY$. There is a (non-naturally) split exact natural sequence

$$0 \longrightarrow \prod_{i \in \mathbb{Z}} \operatorname{Ext}(H_{i-1}X, H_iY) \longrightarrow [SX, SY] \longrightarrow \prod_{i \in \mathbb{Z}} \operatorname{Hom}(H_iX, H_iY) \longrightarrow 0$$

("the universal coefficient theorem", cf. [12, Theorem 5.5.3]). For $a \in C(X, Y)$, let $Sa: SX \to SY$ be the induced morphism and $[Sa] \in [SX, SY]$ be its homotopy class. The invariant $h: [X, Y] \to [SX, SY]$, $[a] \mapsto [Sa]$, is called main.

The main result. We call a space *valid* if it is homotopy equivalent to a CW-complex; we call it *finitary* if it is weakly homotopy equivalent to a compact CW-complex.

1.1. Theorem. Let X be a finitary valid space, Y be a valid space, $h: [X,Y] \to [SX,SY]$ be the main invariant, M be an abelian group, and $f: [X,Y] \to M$ be an invariant. The invariant f is straight if and only if there exists a homomorphism $d: [SX,SY] \to M$ such that $f = d \circ h$.

The theorem follows from Propositions 7.3 and 12.2.

The theorem says that the main invariant is a "universal" straight invariant. A weaker and slightly complicated result is [7, Theorem II]. If M is divisible, then the sufficiency ("if") follows easily from an appropriate form of the Dold–Thom theorem (see § 7), and the necessity ("only if") follows from [7, Theorem II] (but any abelian group is a subgroup of a divisible one). The validity and finitarity hypotheses are essential, see §§ 13, 14.

In § 15, we consider K-straight invariants taking values in modules over a commutative ring K (by definitions, straight = \mathbb{Z} -straight).

§ 2. Notation

The question mark. The expression [?] denotes the map $a \mapsto [a]$ between sets indicated in the context. We similarly use $\langle ? \rangle$, etc. This notation is also used for functors.

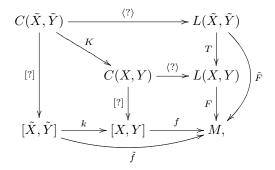
Sets and abelian groups. For a set X, let $c_X \colon X \to \langle X \rangle$ be the canonical map $x \mapsto \langle x \rangle$. For $v \in \langle X \rangle$ and $x \in X$, let $v/x \in \mathbb{Z}$ be the coefficient of $\langle x \rangle$ in v. For an abelian group T, a map $a \colon X \to T$ gives rise to the homomorphism $a^+ \colon \langle X \rangle \to T$, $\langle x \rangle \mapsto a(x)$. T^X is the group of maps $X \to T$.

Simplicial sets. For simplicial sets U and V, let $\mathrm{Si}(U,V)$ be the set of simplicial maps and [U,V] be the set of their homotopy classes (two simplicial maps are homotopic if they are connected by a sequence of homotopies). The functor $\langle ? \rangle$ takes simplicial sets to simplicial abelian groups degreewise. There is the canonical simplicial map $c_U \colon U \to \langle U \rangle$. For a simplicial abelian group Z, a simplicial map $s \colon U \to Z$ gives rise to the simplicial homomorphism $s^+ \colon \langle U \rangle \to Z$. For a simplicial set T, a simplicial map $s \colon U \to V$ induces the maps $s_\#^T \colon \mathrm{Si}(T,U) \to \mathrm{Si}(T,V), \ s_T^\# \colon \mathrm{Si}(V,T) \to \mathrm{Si}(U,T), \ s_*^T \colon [T,U] \to [T,V],$ and $s_T^* \colon [V,T] \to [U,T]$. This notation is also used in the topological case.

§ 3. Induced straight invariants

3.1. Lemma. Let X, \tilde{X} , \tilde{Y} , and Y be spaces, $r \colon X \to \tilde{X}$ and $s \colon \tilde{Y} \to Y$ be continuous maps, M be an abelian group and $f \colon [X,Y] \to M$ be a straight invariant. Then the invariant $\tilde{f} \colon [\tilde{X},\tilde{Y}] \to M$, $\tilde{f}([\tilde{a}]) = f([s \circ \tilde{a} \circ r])$, $\tilde{a} \in C(\tilde{X},\tilde{Y})$, is straight.

Proof. There is a homomorphism $F: L(X,Y) \to M$ such that $f([a]) = F(\langle a \rangle)$, $a \in C(X,Y)$. We have the commutative diagram

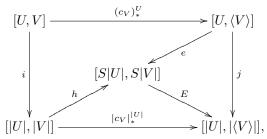


where the maps K and k and the homomorphism T are induced by the pair (r,s) (that is, $K(\tilde{a}) = s \circ \tilde{a} \circ r$, $k([\tilde{a}]) = [s \circ \tilde{a} \circ r]$, $T(\tilde{u}) = \langle s \rangle \circ \tilde{u} \circ \langle r \rangle$), and $\tilde{F} = F \circ T$. Thus \tilde{f} is straight.

\S 4. The main invariant $h: [|U|, |V|] \rightarrow [S|U|, S|V|]$

The geometric realization |Z| of a simplicial abelian group Z has a structure of an abelian group. |Z| is a topological abelian group if Z is countable; in general, it is a group of the category of compactly generated Hausdorff spaces. For a simplicial set T, C(|T|,|Z|) and [|T|,|Z|] are abelian groups with respect to pointwise addition. Clearly, $\mathrm{Si}(T,Z)$ and [T,Z] are also abelian groups.

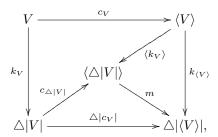
4.1 Lemma. Let U and V be simplicial sets. Then there exists a commutative diagram



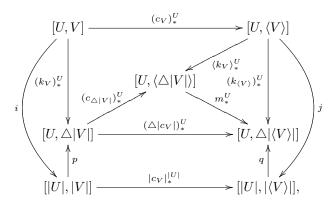
where $i:[s] \mapsto [|s|]$ (the map induced by the geometric realization map), j is similar, h is the main invariant, and e, E are some isomorphisms.

This is a version of the Dold–Thom theorem $[3, \S 4.K]$.

Proof. Let \triangle be the singular functor. For a simplicial set T, let $k_T \colon T \to \triangle |T|$ be the canonical weak equivalence. If T is a simplicial abelian group, k_T is a simplicial homomorphism. We have the commutative diagram



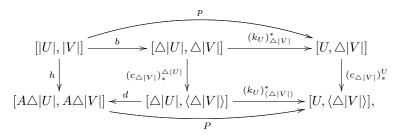
where $m = (\triangle |c_V|)^+$. $k_{\langle V \rangle}$, $\langle k_V \rangle$, and thus m are weak equivalences. Consider the commutative diagram



where the upper part is the result of applying the functor [U, ?] to the previous diagram and p and q are the standard adjunction bijections for the functors |?| and \triangle . $\langle k_V \rangle_*^U$, m_*^U , and q are isomorphisms.

We will find an isomorphism $P \colon [S|U|, S|V|] \to [U, \langle \triangle |V| \rangle]$ such that $P \circ h = (c_{\triangle |V|})_*^U \circ p$. Then it will be enough to set $e = P^{-1} \circ \langle k_V \rangle_*^U$ and $E = q^{-1} \circ m_*^U \circ P$.

For a simplicial set T, let AT be its chain complex, so that $(AT)_n = \langle T_n \rangle$, $n \geq 0$. Then $SX = A \triangle X$ for any space X. A simplicial map $s \colon T \to \langle W \rangle$ gives rise to the morphism $v \colon AT \to AW$, $v_n = s_n^+$, $n \geq 0$. This rule yields an isomorphism $d \colon [T, \langle W \rangle] \to [AT, AW]$ (the Dold–Kan correspondence). We set $T = \triangle |U|$ and $W = \triangle |V|$. Consider the commutative diagram



where the map b is given by the functor \triangle and $P = (k_U)^*_{\langle \triangle | V | \rangle} \circ d^{-1}$. Since $(k_U)^*_{\langle \triangle | V | \rangle}$ is an isomorphism, P is an isomorphism too.

§ 5. Nöbeling-Bergman theory

By a *ring* we mean a (non-unital) commutative ring; *subring* is understood accordingly. The following facts follow from [5, Theorem 2 and its proof], cf. $[2, \S 97]$.

5.1. Lemma. Let E be a torsion-free ring generated by idempotents. Then E is a free abelian group.

An example: the ring B(X) of bounded functions $X \to \mathbb{Z}$, where X is an arbitrary set.

5.2. Lemma. Let E be a torsion-free ring and $F \subseteq E$ be a subring, both generated by idempotents. Then the abelian group E/F is free.

For F = 0, this is Lemma 5.1.

§ 6. Maps to a space with addition

Let X be a space and T be a Hausdorff space.

For a set $V \subseteq T$, we introduce the homomorphism $s_V : L(X,T) \to \mathbb{Z}^X$, $s_V(u)(x) = I_V^+(u(\langle x \rangle)), x \in X$, where $I_V : T \to \mathbb{Z}$ is the indicator function of the set V.

The subgroup $R \subseteq L(X,T)$. For $p \in X$, $q \in T$, let $R(p,q) \subseteq L(X,T)$ be the subgroup of homomorphisms u such that, for any sufficiently small (open) neighbourhood V of q, the function $s_V(u)$ is constant in some neighbourhood of p. Let $R \subseteq L(X,T)$ be the intersection of the subgroups R(p,q), $p \in X$, $q \in T$.

6.1. Lemma. For $a \in C(X,T)$, we have $\langle a \rangle \in R$.

Proof. Take $p \in X$, $q \in T$. We show that $\langle a \rangle \in R(p,q)$. If a(p) = q, then, for any neighbourhood V of q, we take the neighbourhood $U = a^{-1}(V)$ of p and get $s_V(\langle a \rangle)|_U = 1$. Otherwise, choose disjoint neighbourhoods W of q and W_1 of a(p). Consider the neighbourhood $U = a^{-1}(W_1)$ of p. For any $V \subseteq W$, we have $s_V(\langle a \rangle)|_U = 0$.

6.2. Lemma. The abelian group L(X,T)/R is free.

Proof. Let O_T be the set of open sets in T. Consider the ring $E = B(X \times X \times O_T)$. For $p \in X$, $q \in T$, let $I(p,q) \subseteq E$ be the ideal of functions f such that, for any sufficiently small neighbourhood V of q, the function $X \to \mathbb{Z}$, $x \mapsto f(p,x,V)$, vanishes in some neighbourhood of p. Let $I \subseteq E$ be the intersection of the ideals I(p,q), $p \in X$, $q \in T$. The ring E/I is torsion-free and generated by idempotents. By Lemma 5.1, E/I is a free abelian group. Consider the

homomorphism $k \colon L(X,T) \to E$, $k(u)(p,x,V) = s_V(u)(x) - s_V(u)(p)$, $p,x \in X$, $V \in O_T$, $u \in L(X,T)$. We have $k^{-1}(I(p,q)) = R(p,q)$ and thus $k^{-1}(I) = R$. Therefore, k induces a monomorphism $L(X,T)/R \to E/I$. It follows that the abelian group L(X,T)/R is free.

The set Q and the homomorphisms e(D, a). Let Q be the set of pairs (D, a), where $D \subseteq X$ is a closed set and $a \in C(D, T)$. For $(D, a) \in Q$, introduce the homomorphism $e(D, a) \in L(X, T)$,

$$e(D, a)(\langle x \rangle) = \begin{cases} \langle a(x) \rangle & \text{if } x \in D, \\ 0 & \text{otherwise,} \end{cases}$$

 $x \in X$.

6.3. Lemma. Let $(D,a) \in Q$, $p \in X$, and $q \in T$. If $e(D,a) \notin R(p,q)$, then $p \in D$ and a(p) = q.

Proof. Put u = e(D, a). The case $p \notin D$. Consider the neighbourhood $U = X \setminus D$ of p. We have $s_V(u)|_U = 0$ for any $V \subseteq T$. Thus $u \in R(p, q)$. The case $p \in D$, $a(p) \neq q$. Choose disjoint neighbourhoods W of q and W_1 of a(p). There is a neighbourhood U of p such that $a(D \cap U) \subseteq W_1$. We have $s_V(u)|_U = 0$ for any $V \subseteq W$. Thus $u \in R(p, q)$.

The subgroup $K \subseteq L(X,T)$. Let $K \subseteq L(X,T)$ be the subgroup generated by $e(D,a), (D,a) \in Q$.

6.4. Lemma. The abelian group L(X,T)/K is free.

Proof. Consider the monomorphism $j\colon L(X,T)\to B(X\times T),\ j(u)(x,t)=u(<x>)/t.$ For $(D_i,a_i)\in Q,\ i=1,2,$ we have $j(e(D_1,a_1))j(e(D_2,a_2))=j(e(D,a)),$ where $D=\{x\in D_1\cap D_2:a_1(x)=a_2(x)\}$ and $a=a_1|_D=a_2|_D.$ In particular, $j(e(D,a)),\ (D,a)\in Q,$ are idempotents. Therefore, j(K) is a subring generated by idempotents. By Lemma 5.2, the abelian group $B(X\times T)/j(K)$ is free. Since j induces a monomorphism $L(X,T)/K\to B(X\times T)/j(K)$, the abelian group L(X,T)/K is free.

6.5. Lemma. The abelian group $L(X,T)/(K\cap R)$ is free.

Proof. The quotients in the chain $L(X,T) \supseteq K \supseteq K \cap R$ are free: L(X,T)/K by Lemma 6.4, and $K/(K \cap R)$ as a subgroup of L(X,T)/R, which is free by Lemma 6.2.

The homomorphism $G \colon L(X,T) \to T^X$. Let T have a structure of an abelian group such that, (*) for any closed set $D \subseteq X$, the set C(D,T) becomes an abelian group with respect to pointwise addition¹. Consider the homomorphism $G \colon L(X,T) \to T^X$, $G(u)(x) = r(u(\langle x \rangle))$, $x \in X$, $u \in L(X,T)$, where $r = \operatorname{id}^+ \colon \langle T \rangle \to T$.

¹The condition (*) is satisfied if T is a topological abelian group or if X = |U| and T = |Z|, where U is a simplicial set and Z is a simplicial abelian group.

6.6. Lemma. $G(K \cap R) \subseteq C(X,T)$.

Proof. Take $u \in K \cap R$. We show that $G(u) \in C(X,T)$. Since $u \in K$, we have

$$u = \sum_{i \in I} u_i, \qquad u_i = k_i e(D_i, a_i),$$

where I is a finite set, $k_i \in \mathbb{Z}$, and $(D_i, a_i) \in Q$. For $J \subseteq I$, put

$$u_J = \sum_{i \in J} u_i, \qquad D_J = \bigcap_{i \in J} D_i \subseteq X$$

(so $D_{\varnothing} = X$) and

$$b_J = \sum_{i \in J} k_i a_i |_{D_J} \in C(D_J, T),$$
 $k_J = \sum_{i \in J} k_i.$

Take $p \in X$. We verify that G(u) is continuous at p. Put $N = \{i \in I : p \notin D_i\}$. For $q \in T$, put $I(q) = \{i \in I : p \in D_i, \ a_i(p) = q\}$. We have

$$u = u_N + \sum_{q \in T} u_{I(q)}$$

(almost all summands are zero). Clearly, $G(u_N)$ vanishes in some neighbourhood of p. Take $q \in T$. It suffices to show that $G(u_{I(q)})$ is continuous at p. Put $t_0 = G(u_{I(q)}) \in T$. We have $t_0 = k_{I(q)}q$. Let W be a neighbourhood of t_0 . We seek a neighbourhood U of p such that $G(u_{I(q)})(U) \subseteq W$.

Put $E = \{J \subseteq I(q) : k_J = k_{I(q)}\}$. For $J \in E$, we have $p \in D_J$ and $b_J(p) = t_0$. There is a neighbourhood U_1 of p such that $b_J(D_J \cap U_1) \subseteq W$ for all $J \in E$.

By Lemma 6.3, $u_i \in R(p,q)$ for $i \in I \setminus I(q)$. Since $u \in R(p,q)$, we have $u_{I(q)} \in R(p,q)$. Therefore, there is a neighbourhood $V \subseteq T$ of q such that the function $s_V(u_{I(q)})$ is constant in some neighbourhood U_2 of p.

There is a neighbourhood U_3 of p such that $a_i(D_i \cap U_3) \subseteq V$ for all $i \in I(q)$. For $x \in X$, put $J(x) = \{i \in I(q) : x \in D_i\}$. For $x \in U_2 \cap U_3$, we have $k_{J(x)} = s_V(u_{I(q)})(x) = s_V(u_{I(q)})(p) = k_{I(q)}$, i. e. $J(x) \in E$.

Set $U = U_1 \cap U_2 \cap U_3$. Take $x \in U$. We have $G(u_{I(q)})(x) = b_{J(x)}(x) \in W$ because $J(x) \in E$.

6.7. Lemma. There exists a homomorphism $g: L(X,T) \to C(X,T)$ such that $g(\langle a \rangle) = a$ for all $a \in C(X,T)$.

Proof. We have $G(\langle a \rangle) = a$ for all $a \in T^X$. Since $G(K \cap R) \subseteq C(X,T)$ (by Lemma 6.6) and the abelian group $L(X,T)/(K \cap R)$ is free (by Lemma 6.5), there is a homomorphism $g \colon L(X,T) \to C(X,T)$ such that g(u) = G(u) for $u \in K \cap R$. For $a \in C(X,T)$, we have $\langle a \rangle \in K$ (because $\langle a \rangle = e(X,a)$) and $\langle a \rangle \in R$ (by Lemma 6.1). We get $g(\langle a \rangle) = G(\langle a \rangle) = a$.

6.8. Corollary. Suppose that (*) [X,T] is an abelian group with respect to pointwise addition². Then the invariant id: $[X,T] \to [X,T]$ is straight.

Proof. By Lemma 6.7, there is a homomorphism $g: L(X,T) \to C(X,T)$ such that $g(\langle a \rangle) = a$ for all $a \in C(X,T)$. Consider the homomorphism $F: L(X,T) \to [X,T], u \mapsto [g(u)]$. For $a \in C(X,T)$, we have $[a] = [g(\langle a \rangle)] = F(\langle a \rangle)$.

§ 7. Sufficiency in Theorem 1.1

The proof of sufficiency in Theorem 1.1 relies on Corollary 6.8. If the group M is divisible, it is easy to use Lemma 7.1 instead (then the stuff of §§ 5, 6 is needless).

7.1. Lemma (cf. [10, Lemma 1.2]). Let X and T be spaces and T have a structure of an abelian group such that (*) the sets C(X,T) and [X,T] become abelian groups with respect to pointwise addition³. Let M be a divisible abelian group and $f: [X,T] \to M$ be a homomorphism. Then f is a straight invariant.

Proof. Consider the homomorphism $G \colon L(X,T) \to T^X$, $G(u)(x) = r(u(\langle x \rangle))$, $x \in X$, $u \in L(X,T)$, where $r = \mathrm{id}^+ \colon \langle T \rangle \to T$. Let $D \subseteq L(X,T)$ be the subgroup generated by the homomorphisms $\langle a \rangle$, $a \in C(X,T)$. Clearly, $G(\langle a \rangle) = a$ for $a \in C(X,T)$. Therefore, $G(D) \subseteq C(X,T)$. Consider the homomorphism $F_0 \colon D \to M$, $u \mapsto f([G(u)])$. Since M is divisible, there is a homomorphism $F \colon L(X,T) \to M$ such that $F|_D = F_0$. For $a \in C(X,T)$, we have $f([a]) = f([G(\langle a \rangle)]) = F_0(\langle a \rangle) = F(\langle a \rangle)$.

7.2. Claim. Let U and V be simplicial sets. Then the main invariant $h: [|U|, |V|] \rightarrow [S|U|, S|V|]$ is straight.

Proof. Consider the commutative diagram

$$[|U|,|V|] \xrightarrow{h} [S|U|,S|V|]$$

$$\downarrow_{|c_V|_*^{|U|}} \qquad \downarrow_E$$

$$[|U|,|\langle V \rangle|],$$

where E is the isomorphism from Lemma 4.1. By Corollary 6.8, the invariant id: $[|U|, |\langle V \rangle|] \to [|U|, |\langle V \rangle|]$ is straight. Therefore, by Lemma 3.1, the invariant $|c_V|_*^{|U|}$ is straight. Since E is an isomorphism, h is also straight.

7.3. Proposition. Let X be a space and Y be a valid space. Then the main invariant $h: [X,Y] \to [SX,SY]$ is straight.

²See footnote 1.

³See footnote 1.

Proof. There are homology equivalences $r: |U| \to X$ and $s: Y \to |V|$, where U and V are simplicial sets. Consider the commutative diagram

$$\begin{split} [X,Y] & \xrightarrow{h} [SX,SY] \\ \downarrow k & \downarrow l \\ [|U|,|V|] & \xrightarrow{\tilde{h}} [S|U|,S|V|], \end{split}$$

where \tilde{h} is the main invariant and the map k and the isomorphism l are induced by the pair (r,s). By Claim 7.2, \tilde{h} is straight. By Lemma 3.1, the invariant $\tilde{h} \circ k$ is straight. Since $h = l^{-1} \circ \tilde{h} \circ k$, h is also straight.

§ 8. The superposition $Z: \langle \mathrm{Si}(U,V) \rangle_0 \to \mathrm{Si}(U,\langle V \rangle_0)$

For a set X, let $\langle X \rangle_0 \subseteq \langle X \rangle$ be the kernel of the homomorphism $\langle X \rangle \to \mathbb{Z}$, $\langle x \rangle \mapsto 1$. We apply the functor $\langle ? \rangle_0$ to simplicial sets degreewise.

Let U and V be simplicial sets. The canonical simplicial map $c = c_V : V \to \langle V \rangle$ gives rise to the map $c_\#^U : \operatorname{Si}(U,V) \to \operatorname{Si}(U,\langle V \rangle)$ and the homomorphism $(c_\#^U)^+ : \langle \operatorname{Si}(U,V) \rangle \to \operatorname{Si}(U,\langle V \rangle)$. We have the commutative diagram

$$\langle \operatorname{Si}(U,V) \rangle_0 \xrightarrow{Z} \operatorname{Si}(U,\langle V \rangle_0)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\langle \operatorname{Si}(U,V) \rangle \xrightarrow{(c_\#^U)^+} \operatorname{Si}(U,\langle V \rangle),$$

where the vertical arrows are induced by the canonical inclusion $\langle ? \rangle_0 \rightarrow \langle ? \rangle$ and Z is a new homomorphism called the *superposition*.

§ 9. Surjectivity of the superposition

Our aim here is Lemma 9.1. We follow [10, §§ 12, 13].

Extension of simplicial maps. For $n \ge 0$, let Δ^n be the combinatorial standard *n*-simplex (a simplicial set) and $\partial \Delta^n$ be its boundary.

Let W be a contractible fibrant simplicial set. For each $n \ge 0$, choose a map $e_n \colon \operatorname{Si}(\partial \Delta^n, W) \to \operatorname{Si}(\Delta^n, W)$ such that $e_n(q)|_{\partial \Delta^n} = q$ for any $q \in \operatorname{Si}(\partial \Delta^n, W)$.

Let U be a simplicial set. For each simplicial subset $A \subseteq U$, we introduce the map $E_A \colon \operatorname{Si}(A, W) \to \operatorname{Si}(U, W)$, $x \mapsto t$, where $t|_A = x$ and $t \circ p = e_n(t \circ p|_{\partial \Delta^n})$ for the characteristic map $p \colon \Delta^n \to U$ of each non-degenerate simplex outside A. Clearly,

- (1) $E_A(x)|_A = x$;
- (2) $E_A(x)|_B = E_{A \cap B}(x|_{A \cap B})|_B$,

where $A, B \subseteq U$ are simplicial subsets and $x \in Si(A, W)$.

The ring $\langle Q \rangle$ and its identity I. Let Q be the system of simplicial subsets of U consisting of all subsets isomorphic to Δ^n , $n \geq 0$, and the empty subset. Suppose that the simplicial set U is polyhedral, i. e. Q is its cover closed under intersection, and compact, i. e. generated by a finite number of simplices. Q is finite.

We introduce multiplication in $\langle Q \rangle$ by putting $\langle A \rangle \langle B \rangle = \langle A \cap B \rangle$ for $A, B \in Q$. The ring $\langle Q \rangle$ has an identity I. Indeed, the homomorphism $e \colon \langle Q \rangle \to \mathbb{Z}^Q$,

$$e(\langle A \rangle)(B) = \begin{cases} 1 & \text{if } A \supseteq B, \\ 0 & \text{otherwise,} \end{cases}$$

 $A,B\in Q$, is an isomorphism ("an upper unitriangular matrix") preserving multiplication. Therefore, $I=e^{-1}(1)$ is an identity.

The homomorphism $K \colon \mathrm{Si}(U, \langle W \rangle_0) \to \langle \mathrm{Si}(U, W) \rangle_0$. For a simplicial set T, let $Z_T \colon \langle \mathrm{Si}(T, W) \rangle_0 \to \mathrm{Si}(T, \langle W \rangle_0)$ be the superposition. For simplicial sets $T \supseteq A$, let $r_A^T \colon \mathrm{Si}(T, W) \to \mathrm{Si}(A, W)$ and $s_A^T \colon \mathrm{Si}(T, \langle W \rangle_0) \to \mathrm{Si}(A, \langle W \rangle_0)$ be the restriction maps. s_A^T is a homomorphism. If T = U, we omit the corresponding sub/superscript in this notation.

Note that Z_A is an isomorphism for $A \in Q$. Consider the map $k \colon Q \to \operatorname{Hom}(\operatorname{Si}(U, \langle W \rangle_0), \langle \operatorname{Si}(U, W) \rangle_0), A \mapsto \langle E_A \rangle_0 \circ Z_A^{-1} \circ s_A$:

$$k(A) \colon \operatorname{Si}(U, \langle W \rangle_0) \xrightarrow{s_A} \operatorname{Si}(A, \langle W \rangle_0) \xrightarrow{Z_A^{-1}} \langle \operatorname{Si}(A, W) \rangle_0 \xrightarrow{\langle E_A \rangle_0} \langle \operatorname{Si}(U, W) \rangle_0.$$
 Put $K = k^+(I)$.

9.1. Lemma. The diagram

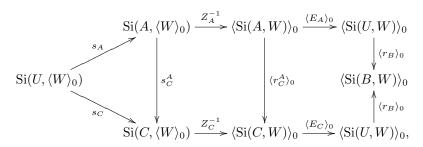
$$\langle \operatorname{Si}(U, W) \rangle_0$$

$$\downarrow^K \qquad \downarrow^Z$$

$$\operatorname{Si}(U, \langle W \rangle_0) \xrightarrow{\operatorname{id}} \operatorname{Si}(U, \langle W \rangle_0)$$

 $is\ commutative.$

Proof. Take $A, B \in Q$. We have the commutative diagram



where $C = A \cap B$ (commutativity of the "pentagon" follows from the property (2) of the family E). Therefore, $\langle r_B \rangle_0 \circ k(A) = \langle r_B \rangle_0 \circ k(A \cap B)$. Therefore, $\langle r_B \rangle_0 \circ k^+(X) = \langle r_B \rangle_0 \circ k^+(X \circ B)$ for $X \in \langle Q \rangle$. We have $\langle r_B \rangle_0 \circ K = \langle r_B \rangle_0 \circ k^+(X \circ B) = \langle r_B \rangle_0 \circ k^+(X \circ B) = \langle r_B \rangle_0 \circ k(B) = \langle r_B \rangle_0 \circ$

§ 10. A cocartesian square

Let U be a compact polyhedral simplicial set and V be a fibrant simplicial set. The canonical simplicial map $c = c_V \colon V \to \langle V \rangle$ induces the maps $c_\#^U \colon \mathrm{Si}(U,V) \to \mathrm{Si}(U,\langle V \rangle)$ and $c_*^U \colon [U,V] \to [U,\langle V \rangle]$. Consider the commutative square of abelian groups and homomorphisms

$$\langle \operatorname{Si}(U, V) \rangle \xrightarrow{(c_{\#}^{U})^{+}} \operatorname{Si}(U, \langle V \rangle)$$

$$\langle p \rangle \downarrow \qquad \qquad \downarrow q$$

$$\langle [U, V] \rangle \xrightarrow{(c_{*}^{U})^{+}} [U, \langle V \rangle],$$

where $p = [?]: \operatorname{Si}(U, V) \to [U, V]$ and q = [?] (the projections).

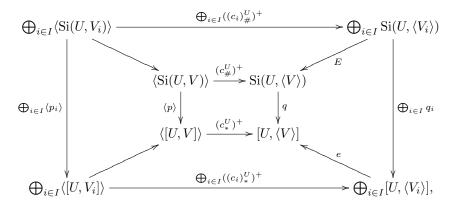
10.1. Lemma. This square is cocartesian.

Proof. Since $\langle p \rangle$ and q are epimorphisms, it suffices to show that $\operatorname{Ker} q = (c_{\#}^{U})^{+}(\operatorname{Ker}\langle p \rangle)$.

Suppose we have a decomposition

$$V = \coprod_{i \in I} V_i.$$

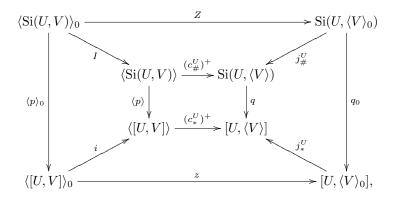
Consider the commutative diagram



where c_i , p_i , and q_i are similar to c, p, and q (respectively) and the slanting arrows are induced by the inclusions $V_i \to V$. Since U is compact, E and e are

isomorphisms. Therefore, is suffices to show that $\operatorname{Ker} q_i = ((c_i)_{\#}^U)^+(\operatorname{Ker}\langle p_i \rangle)$ for each $i \in I$. This reduction allows us to assume that V is 0-connected.

Consider the commutative diagram



where $q_0 = [?]$ (the projection), Z is the superposition, z is the homomorphism such that the outer square is commutative, I and i are the inclusion homomorphisms, and $j: \langle V \rangle_0 \to \langle V \rangle$ is the inclusion simplicial homomorphism. Clearly, $\operatorname{Ker} q = j_\#^U(\operatorname{Ker} q_0)$. Therefore, it suffices to show that $\operatorname{Ker} q_0 = Z(\operatorname{Ker} \langle p \rangle_0)$.

Since V is fibrant and 0-connected, there is a surjective simplicial map $f \colon W \to V$, where W is a contractible fibrant simplicial set. Consider the commutative diagram

where the map $f_{\#}^U$: $\mathrm{Si}(U,W) \to \mathrm{Si}(U,V)$ and the simplicial homomorphism $\langle f \rangle_0 \colon \langle W \rangle_0 \to \langle V \rangle_0$ are induced by f and \tilde{Z} is the superposition. Since $\langle f \rangle_0$ is surjective, it is a fibration. Therefore, $\mathrm{Ker}\,q_0 \subseteq \mathrm{Im}(\langle f \rangle_0)_{\#}^U$. By Lemma 9.1, \tilde{Z} is surjective. Since W is contractible, $\mathrm{Im}\langle f_{\#}^U \rangle_0 \subseteq \mathrm{Ker}\langle p \rangle_0$. Therefore, $\mathrm{Ker}\,q_0 \subseteq Z(\mathrm{Ker}\langle p \rangle_0)$. The reverse inclusion is obvious.

\S 11. The homomorphism $P: \mathrm{Si}(U, \langle V \rangle) \to L(|U|, |V|)$

For $n \geq 0$, let Δ^n be the geometric standard *n*-simplex and $\dot{\Delta}^n$ be its interior. For a simplicial set U and a point $z \in \Delta^n$, there is a canonical map $z_U \colon U_n \to |U|$. The map $\Delta^n \times U_n \to |U|$, $(z,u) \mapsto z_U(u)$, is the canonical pairing of geometric realization.

Let U and V be simplicial sets. We define a homomorphism $\tilde{P}: \mathrm{Si}(U, \langle V \rangle) \to \mathrm{Hom}(\langle |U| \rangle, \langle |V| \rangle)$. For $t \in \mathrm{Si}(U, \langle V \rangle)$ and $x \in |U|$, $x = z_U(u)$, where $z \in \mathbf{\Delta}^n$ and $u \in U_n$ $(n \ge 0)$, put $\tilde{P}(t)(\langle x \rangle) = \langle z_V \rangle(t_n(u))$:

$$u \in U_n \xrightarrow{t_n} \langle V \rangle_n = \langle V_n \rangle \xrightarrow{\langle z_V \rangle} \langle |V| \rangle.$$

 \tilde{P} is well-defined.

Suppose that U is compact.

11.1. Lemma. Im $\tilde{P} \subseteq L(|U|, |V|)$.

Proof. Let $U_n^{\times} \subseteq U_n$ $(n \ge 0)$ be the set of non-degenerate simplices. For $u \in U_n^{\times}$ $(n \ge 0)$, we define a homomorphism $I_u \colon \langle V_n \rangle \to L(|U|, |V|)$. For $v \in V_n$, $x \in |U|$, put

$$I_u(\langle v \rangle)(\langle x \rangle) = \begin{cases} \langle z_V(v) \rangle & \text{if } x = z_U(u) \text{ for } z \in \mathring{\boldsymbol{\Delta}}^n, \\ 0 & \text{otherwise.} \end{cases}$$

This equality is preserved if we replace $\langle v \rangle$ by $w \in \langle V_n \rangle$ and $\langle z_V(v) \rangle$ by $\langle z_V \rangle(w)$. It suffices to show that

$$\tilde{P}(t) = \sum_{n \geqslant 0, u \in U_n^{\times}} I_u(t_n(u)), \quad t \in \operatorname{Si}(U, \langle V \rangle).$$

Evaluating each side at $\langle x \rangle$, $x = z_U(u)$, where $z \in \mathring{\Delta}^n$ and $u \in U_n^{\times}$ $(n \ge 0)$, we get $\langle z_V \rangle (t_n(u))$.

Lemma 11.1 allows us to introduce the homomorphism $P: \mathrm{Si}(U,\langle V \rangle) \to L(|U|,|V|), \ P(t) = \tilde{P}(t).$

11.2. Lemma. The diagram

$$\begin{array}{ccc} \operatorname{Si}(U,V) & \xrightarrow{c_{\#}^{U}} & \operatorname{Si}(U,\langle V \rangle) \\ & & & \downarrow P \\ C(|U|,|V|) & \xrightarrow{\langle ? \rangle} & L(|U|,|V|), \end{array}$$

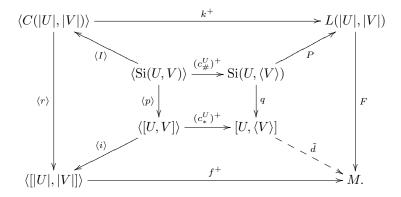
where $c = c_V : V \to \langle V \rangle$ is the canonical simplicial map, is commutative.

Proof. For $s \in \text{Si}(U, V)$ and $x \in |U|$, $x = z_U(u)$, where $z \in \Delta^n$ and $u \in U_n$ $(n \ge 0)$, we have $(P \circ c_\#^U)(s)(< x>) = P(c \circ s)(< x>) = \langle z_V \rangle ((c \circ s)_n(u)) = \langle z_V (s_n(u)) \rangle = \langle |s|(z_U(u)) \rangle = \langle |s|(x) \rangle = \langle |s| \rangle (\langle x>)$.

§ 12. Necessity in Theorem 1.1

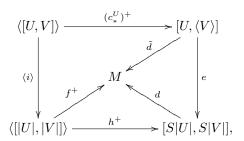
12.1. Claim. Let U be a compact polyhedral simplicial set, V be a fibrant simplicial set, $h: [|U|, |V|] \to [S|U|, S|V|]$ be the main invariant, M be an abelian group, and $f: [|U|, |V|] \to M$ be a straight invariant. Then there exists a homomorphism $d: [S|U|, S|V|] \to M$ such that $f = d \circ h$.

Proof. Since f is straight, there is a homomorphism $F: L(|U|, |V|) \to M$ such that $f([a]) = F(\langle a \rangle)$ for $a \in C(|U|, |V|)$. Consider the diagram of abelian groups and homomorphisms



Here the inner square is as in § 10, r = [?]: $C(|U|, |V|) \rightarrow [|U|, |V|]$ (the projection), $k = \langle ? \rangle$: $C(|U|, |V|) \rightarrow L(|U|, |V|)$, I = |?|: $\mathrm{Si}(U, V) \rightarrow C(|U|, |V|)$ (the geometric realization map), $i : [U, V] \rightarrow [|U|, |V|]$, $[s] \mapsto [|s|]$, and P is as in § 11. By Lemma 11.2, the upper trapezium is commutative. The solid arrows are defined and form a commutative subdiagram. Since the inner square is co-cartesian by Lemma 10.1, the dashed arrow \tilde{d} is well-defined by the condition of commutativity of the diagram.

Consider the diagram

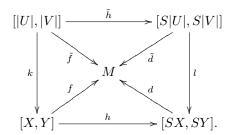


where e is the isomorphism from Lemma 4.1 and $d = \tilde{d} \circ e^{-1}$. The square is commutative by Lemma 4.1. We have $\tilde{d} \circ (c_*^U)^+ = f^+ \circ \langle i \rangle$. Since V is fibrant, i is a bijection, and thus $\langle i \rangle$ is an isomorphism. We get $f^+ = d \circ h^+$ (so the diagram is commutative). Therefore, $f = d \circ h$.

12.2. Proposition. Let X be finitary valid space, Y be a space, $h: [X,Y] \to [SX,SY]$ be the main invariant, M be an abelian group, and $f: [X,Y] \to M$ be a straight invariant. Then there exists a homomorphism $d: [SX,SY] \to M$ such that $f = d \circ h$.

Proof. There are a homotopy equivalence $r: X \to |U|$ and a weak homotopy equivalence $s: |V| \to Y$, where U is a compact polyhedral simplicial set and V

is a fibrant simplicial set. We construct the commutative diagram



Here the bijection k and the isomorphism l are induced by the pair (r, s) and \tilde{h} is the main invariant. The square is commutative. By Lemma 3.1, the invariant $\tilde{f} = f \circ k$ is straight. By Claim 12.1, there is a homomorphism \tilde{d} such that $\tilde{f} = \tilde{d} \circ \tilde{h}$. Set $d = \tilde{d} \circ l^{-1}$. Since k is a bijection, we get $f = d \circ h$ (so the diagram is commutative).

§ 13. Three counterexamples

The Hawaiian ear-ring. Let us show that the hypothesis of validity of Y in Theorem 1.1 and Proposition 7.3 is essential. Let X be the one-point compactification of the ray $\mathbb{R}_+ = (0, \infty)$ (a circle) and Y be that of the space $\mathbb{R}_+ \setminus \mathbb{N}$ (the Hawaiian ear-ring [3, Example 1.25]). We define a map $m \in C(X, Y)$ by putting

$$m(x) = \left\lceil \frac{x+1}{2} \right\rceil + (-1)^{[x/2]} \{-x\}$$

for $x \in \mathbb{R}_+ \setminus \mathbb{N}$. Here [t] and $\{t\}$ are the integral and the fractional (respectively) parts of a number $t \in \mathbb{R}$. The element of $\pi_1(Y, \infty)$ represented by the loop m is the (reasonably understood) infinite product of commutators

$$\prod_{p=0}^{\infty} [u_{2p}, u_{2p+1}],\tag{*}$$

where u_q is the element realized by the closure of the interval (q, q + 1). Let $e \in H_1(X)$ be the standard generator. As in [4, p. 76], we get that the element $m_*(e) \in H_1(Y)$ has infinite order. Therefore, there is a homomorphism $k \colon H_1(Y) \to \mathbb{Q}$ such that $k(m_*(e)) = 1$. We define a homomorphism $d \colon [SX, SY] \to \mathbb{Q}$ by putting $d([v]) = k(v_*(e))$ for a morphism $v \colon SX \to SY$. Let $h \colon [X, Y] \to [SX, SY]$ be the main invariant. We show that the invariants $d \circ h$ and thus h are not straight.

For $y \in Y$ and i = 0, 1, put $y_{(i)} \in Y$ equal to ∞ if i = 1 and to y otherwise. For i, j = 0, 1, we define a map $r_{ij} \in C(Y, Y)$. For $y \in \mathbb{R}_+ \setminus \mathbb{N}$, we put $r_{ij}(y)$ equal to $y_{(j)}$ if [y] is odd and to $y_{(i)}$ otherwise. For elements z_{ij} , i, j = 0, 1, of an abelian group, put $\vee_{ij}z_{ij} = z_{00} - z_{10} - z_{01} + z_{11}$. Clearly, $\vee_{ij}\langle r_{ij}\rangle = 0$ in L(Y,Y). Put $a_{ij} = r_{ij} \circ m \in C(X,Y)$. We get $\vee_{ij}\langle a_{ij}\rangle = 0$ in L(X,Y). Therefore, $\vee_{ij}f([a_{ij}]) = 0$ for any straight invariant f. We show that this is false for the invariant $d \circ h$. We have $a_{00} = m$; the map a_{11} is constant. It is easy to see that the maps a_{10} and a_{01} are null-homotopic (this "follows formally" from the presentation (*) and the equalities $r_{10*}(u_{2p}) = r_{01*}(u_{2p+1}) = 1$). We get $\bigvee_{ij} (d \circ h)([a_{ij}]) = (d \circ h)([m]) = k(m_*(e)) = 1$.

Using [1, Theorem 2], one can make the spaces X and Y simply-connected in this example.

The Warsaw circle. Let us show that the hypothesis of validity of X in Theorem 1.1 and Proposition 12.2 is essential. Let X be the Warsaw circle [3, Exercise 7 in \S 1.3] and Y be the unit circle in \mathbb{C} . Y is a topological abelian group. The group [X,Y] is non-zero by [3, Exercise 7 in \S 1.3, Proposition 1.30] and torsion-free by [6, Theorem 1 in \S 56-III]. Therefore, there is a non-zero homomorphism $f: [X,Y] \to \mathbb{Q}$. By Lemma 7.1, f is a straight invariant. Since X is weakly homotopy equivalent to a point [3, Exercise 10 in \S 4.1] and Y is 0-connected, the main invariant $h: [X,Y] \to [SX,SY]$ is constant. Therefore there exists no homomorphism $d: [SX,SY] \to \mathbb{Q}$ such that $f = d \circ h$.

An infinite discrete space. Let us show that the hypothesis of finitarity of X in Theorem 1.1 and Proposition 12.2 is essential (see also § 14).

Note that, for an infinite set X, the subgroup $B(X) \subseteq \mathbb{Z}^X$ is not a direct summand because the group \mathbb{Z}^X is reduced and the group $\mathbb{Z}^X/B(X)$ is divisible and non-zero.

Let X and Y be discrete spaces, X infinite and $Y = \{y_0, y_1\}$. Introduce the function $k \colon Y \to \mathbb{Z}, y_i \mapsto i, i = 0, 1$. Consider the invariant $f \colon [X, Y] \to B(X)$, $[a] \mapsto k \circ a, a \in C(X, Y)$.

The invariant f is straight because, for the homomorphism $F: L(X, Y) \to B(X)$, $F(u)(x) = k^+(u(\langle x \rangle))$, $x \in X$, $u \in L(X, Y)$, we have $f([a]) = F(\langle a \rangle)$, $a \in C(X, Y)$.

Let $h: [X,Y] \to [SX,SY]$ be the main invariant. We show that there exists no homomorphism $d: [SX,SY] \to B(X)$ such that $f = d \circ h$. Assume that there is such a d.

Consider the homomorphism $l: \mathbb{Z}^X \to \operatorname{Hom}(\langle X \rangle, \langle Y \rangle), l(v)(\langle x \rangle) = v(x)(\langle y_1 \rangle - \langle y_0 \rangle), x \in X, v \in \mathbb{Z}^X$. We have $l(f([a])) = \langle a \rangle - \langle a_0 \rangle, a \in C(X,Y)$, where $a_0: X \to Y, x \mapsto y_0$. Clearly, there is an isomorphism $e: \operatorname{Hom}(\langle X \rangle, \langle Y \rangle) \to [SX, SY]$ such that $e(\langle a \rangle) = h([a]), a \in C(X,Y)$. Consider the composition

$$r \colon \mathbb{Z}^X \xrightarrow{l} \operatorname{Hom}(\langle X \rangle, \langle Y \rangle) \xrightarrow{e} [SX, SY] \xrightarrow{d} B(X).$$

For $a \in C(X,Y)$, we have $r(f([a])) = (d \circ e \circ l \circ f)([a]) = d(e(\langle a \rangle - \langle a_0 \rangle)) = d(h([a]) - h([a_0])) = f([a]) - f([a_0]) = f([a])$. Since the elements f([a]), $a \in C(X,Y)$, generate B(X), we get $r|_{B(X)} = \mathrm{id}$, which is impossible.

§ 14. Invariants of maps $\mathbb{R}P^{\infty} \to \mathbb{R}P^{\infty}$

Here we show that the hypothesis of finitarity of X in Theorem 1.1 and Proposition 12.2 is essential even if M is divisible. (Possibly, if M is divisible and/or Y is (simply-)connected, the hypothesis of finitarity of X can be replaced

by the weaker one that X is weakly homotopy equivalent to a finite-dimensional CW-complex.)

Let X and Y be spaces. A set $E \subseteq X$ is called Y-representative if any maps $a,b \in C(X,Y)$ equal on E are homotopic. X is called Y-unitary if any finite cover of X contains a Y-representative set.

14.1. Lemma. Let M be a divisible group. If X is Y-unitary, then any invariant $f: [X, Y] \to M$ is straight.

Proof. Introduce the maps $r = [?]: C(X,Y) \to [X,Y]$ (the projection) and $k = \langle ? \rangle: C(X,Y) \to L(X,Y)$. We seek a homomorphism F giving the commutative diagram

$$\begin{split} \langle C(X,Y)\rangle & \xrightarrow{k^+} L(X,Y) \\ \langle r \rangle \bigg| & & \bigg|_F \\ \langle [X,Y]\rangle & \xrightarrow{f^+} M. \end{split}$$

Since M is divisible, it suffices to show that $\operatorname{Ker} k^+ \subseteq \operatorname{Ker} \langle r \rangle$. Take an element $w \in \operatorname{Ker} k^+$. We show that $w \in \operatorname{Ker} \langle r \rangle$. There are a finite set I, a map $l: I \to C(X,Y)$, and an element $v \in \langle I \rangle$ such that $\langle l \rangle(v) = w$. Put $a_i = l(i)$, $i \in I$. For an equivalence d on I, let $p_d: I \to I/d$ be the projection. Let N be the set of equivalences d on I such that $\langle p_d \rangle(v) = 0$ in $\langle I/d \rangle$.

Take $x \in X$. Consider the equivalence $d(x) = \{(i, j) : a_i(x) = a_j(x)\}$ on I. We show that $d(x) \in N$. We have the commutative diagrams

$$I \xrightarrow{l} C(X,Y) \qquad \langle C(X,Y) \rangle \xrightarrow{k^+} L(X,Y)$$

$$\downarrow e_x \qquad \qquad \langle e_x \rangle \downarrow \qquad \qquad h_x$$

$$I/d(x) \xrightarrow{l_x} Y, \qquad \langle Y \rangle,$$

where the map l_x is defined by the condition of commutativity of the diagram, e_x is the map of evaluation at x, and h_x is the homomorphism of evaluation at $\langle x \rangle$. We get $\langle l_x \rangle (\langle p_{d(x)} \rangle (v)) = \langle e_x \rangle (\langle l \rangle (v)) = \langle e_x \rangle (w) = h_x(k^+(w)) = 0$. Since l_x is injective, we get $\langle p_{d(x)} \rangle (v) = 0$, which is what we promised.

For an equivalence d on I, put $E_d = \{x \in X : (i,j) \in d \Rightarrow a_i(x) = a_j(x)\}$. Since $x \in E_{d(x)}$ for any $x \in X$, the family E_d , $d \in N$, is a cover of X. Since X is Y-unitary, E_d is Y-representative for some $d \in N$. For $(i,j) \in d$, the maps a_i and a_j are equal on E_d and thus homotopic. Therefore, there is a map m giving the commutative diagram

$$I \xrightarrow{p_d} C(X,Y)$$

$$\downarrow^p r$$

$$I/d \xrightarrow{m} [X,Y].$$

We get $\langle r \rangle(w) = \langle r \rangle(\langle l \rangle(v)) = \langle m \rangle(\langle p_d \rangle(v)) = 0$ because $d \in N$.

Hereafter, let X and Y be homeomorphic to $\mathbb{R}P^{\infty}$.

14.2. Lemma. X is Y-unitary.

Proof. Let H^{\bullet} be the \mathbb{Z}_2 -cohomology. Let $g \in H^1X$ and $h \in H^1Y$ be the non-zero classes.

We show that (*) a set $E \subseteq X$ is Y-representative if $g|_U \neq 0$ for any neighbourhood U of E. If maps $a, b \in C(X, Y)$ are equal on E, they are homotopic on some neighbourhood U of E. Then $a^*(h)|_U = b^*(h)|_U$. Since $g|_U \neq 0$, the homomorphism $?|_U: H^1X \to H^1U$ is injective. Therefore, $a^*(h) = b^*(h)$. Since Y is a $\mathcal{K}(\mathbb{Z}_2, 1)$ space, a and b are homotopic, as needed.

We show that X is Y-unitary. Assume that $X = E_1 \cup ... \cup E_n$, where the sets E_i are not Y-representative. By (*), each E_i has a neighbourhood U_i with $g|_{U_i} = 0$. Since $U_1 \cup ... \cup U_n = X$, we get $g^n = 0$, which is false.

We have $[X,Y] = \{u_0, u_1\}$, where u_0 is the class of a constant map and u_1 is that of a homeomorphism. Consider the invariant $f: [X,Y] \to \mathbb{Q}, u_i \mapsto i, i = 0, 1$. By Lemmas 14.2 and 14.1, f is straight. Let $h: [X,Y] \to [SX,SY]$ be the main invariant. Using the isomorphism

$$[SX, SY] \longrightarrow \prod_{i \in \mathbb{Z}} \operatorname{Hom}(H_i X, H_i Y), \qquad [v] \mapsto v_*,$$

we get $2h(u_0) = 2h(u_1)$. Therefore, there exists no homomorphism $d: [SX, SY] \to \mathbb{Q}$ such that $f = d \circ h$.

\S 15. K-straight invariants

Let K be a unital ring. K-modules are unital.

K-module $L_K(X,Y)$. For a set X, let $\langle X \rangle_K$ be the (free) K-module with the basis $X_K^\sharp \subseteq \langle X \rangle_K$ endowed with the bijection $X \to X_K^\sharp$, $x \mapsto \langle x \rangle_K$. For sets X and Y, let $L_K(X,Y) \subseteq \operatorname{Hom}_K(\langle X \rangle_K, \langle Y \rangle_K)$ be the K-submodule generated by the K-homomorphisms u such that $u(X_K^\sharp) \subseteq Y_K^\sharp \cup \{0\}$. A map $a\colon X \to Y$ induces a K-homomorphism $\langle a \rangle_K \in L_K(X,Y), \langle a \rangle_K(\langle x \rangle_K) = \langle a(x) \rangle_K$.

K-straight invariants. Let X and Y be spaces and M be a K-module. An invariant $f \colon [X,Y] \to M$ is called K-straight if there exists a K-homomorphism $\tilde{F} \colon L_K(X,Y) \to M$ such that $f([a]) = \tilde{F}(\langle a \rangle_K)$ for all $a \in C(X,Y)$.

15.1. Proposition. An invariant $f: [X,Y] \to M$ is K-straight if and only if it is straight.

Proof is given in \S 16.

The K-main invariant $\tilde{h}: [X,Y] \to [S_KX,S_KY]_K$. Let S_KX be the K-complex of singular chains of X with coefficients in K and $[S_KX,S_KY]_K$ be the K-module of K-homotopy classes of K-morphisms $S_KX \to S_KY$. For $a \in C(X,Y)$, let $S_Ka: S_KX \to S_KY$ be the induced K-morphism and $[S_Ka]_K \in [S_KX,S_KY]_K$ be its K-homotopy class. The invariant $\tilde{h}: [X,Y] \to [S_KX,S_KY]_K$, $[a] \mapsto [S_Ka]_K$, is called K-main.

15.2. Theorem. Suppose that X is valid and finitary and Y is valid. An invariant $f: [X,Y] \to M$ is K-straight if and only if there exists a K-homomorphism $\tilde{d}: [S_K X, S_K Y]_K \to M$ such that $f = \tilde{d} \circ \tilde{h}$.

Proof is given in § 16. For $K = \mathbb{Z}$, this is Theorem 1.1.

\S 16. K-straight invariants: proofs

Let X and Y be sets. We define a homomorphism $e: L(X,Y) \to L_K(X,Y)$. For $u \in L(X,Y)$, let e(u) be the K-homomorphism giving the commutative diagram

$$\langle X \rangle \xrightarrow{u} \langle Y \rangle$$

$$\downarrow^{i_X} \qquad \qquad \downarrow^{i_Y}$$

$$\langle X \rangle_K \xrightarrow{e(u)} \langle Y \rangle_K,$$

where i_X is the homomorphism $\langle x \rangle \mapsto \langle x \rangle_K$ and i_Y is similar.

For an abelian group A, a K-module M, and a homomorphism $t \colon A \to M$, we introduce the K-homomorphism $t^{(K)} \colon K \otimes A \to M$, $1 \otimes a \mapsto t(a)$.

16.1. Lemma. $e^{(K)}: K \otimes L(X,Y) \to L_K(X,Y)$ is a K-isomorphism.

Proof. For $w \in \langle Y \rangle_K$ and $y \in Y$, let $w/y \in K$ be the coefficient of $\langle y \rangle_K$ in w. For $v \in L_K(X,Y)$ and $k \in K \setminus \{0\}$, we introduce the homomorphism $v_k \in L(X,Y)$,

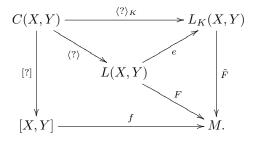
$$v_k(\langle x \rangle) = \sum_{y \in Y: v(\langle x \rangle_K)/y = k} \langle y \rangle, \quad x \in X.$$

It is not difficult to verify that the map $d: L_K(X,Y) \to K \otimes L(X,Y)$,

$$d(v) = \sum_{k \in K \setminus \{0\}} k \otimes v_k,$$

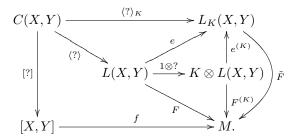
is a K-homomorphism. Using this, we get $e^{(K)} \circ d = \mathrm{id}$ and $d \circ e^{(K)} = \mathrm{id}$. \square

Proof of Proposition 15.1. Necessity. Let f be K-straight. There is a K-homomorphism $\tilde{F}: L_K(X,Y) \to M$ such that $f([a]) = \tilde{F}(\langle a \rangle_K), \ a \in C(X,Y)$. Consider the homomorphism $F = \tilde{F} \circ e$:



The diagram is commutative. We get $f([a]) = F(\langle a \rangle)$, $a \in C(X, Y)$. Therefore, f is straight.

Sufficiency. Let f be straight. There is a homomorphism $F: L(X,Y) \to M$ such that $f([a]) = F(\langle a \rangle), \ a \in C(X,Y)$. By Lemma 16.1, $e^{(K)}$ is a K-isomorphism. Consider the homomorphism $\tilde{F} = F^{(K)} \circ (e^{(K)})^{-1}$:



The diagram is commutative. We get $f([a]) = \tilde{F}(\langle a \rangle_K)$, $a \in C(X,Y)$. Therefore, f is K-straight.

The homomorphism $I: [SX, SY] \to [S_KX, S_KY]_K$. Let X and Y be spaces. A morphism $v: SX \to SY$ induces a K-morphism

$$S_K X = K \otimes SX \xrightarrow{\operatorname{id} \otimes v} K \otimes SY = S_K Y.$$

Consider the homomorphism $I: [SX, SY] \to [S_KX, S_KY]_K, [v] \mapsto [\mathrm{id} \otimes v]_K.$

16.2. Lemma. If the group $H_{\bullet}(X)$ is finitely generated, then the K-homomorphism

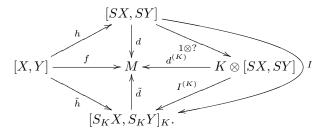
$$I^{(K)} \colon K \otimes [SX,SY] \to [S_KX,S_KY]_K$$

is a K-split K-monomorphism, i. e. there exists a K-homomorphism $R: [S_K X, S_K Y]_K \to K \otimes [SX, SY]$ such that $R \circ I^{(K)} = \mathrm{id}$.

This is a variant of the universal coefficient theorem, cf. [12, Theorems 5.2.8 and 5.5.10]. $\hfill\Box$

Proof of Theorem 15.2. We have $\tilde{h} = I \circ h$, where $h: [X,Y] \to [SX,SY]$ is the main invariant. By Proposition 7.3, h is straight. Therefore, \tilde{h} is straight. By Proposition 15.1, \tilde{h} is K-straight.

This gives the sufficiency. Necessity. Let f be K-straight. By Proposition 15.1, f is straight. By Proposition 12.2, there is a homomorphism $d \colon [SX, SY] \to M$ such that $f = d \circ h$. By Lemma 16.2, there is a K-homomorphism \tilde{d} such that $\tilde{d} \circ I^{(K)} = d^{(K)}$:



The diagram is commutative. In particular, $f = \tilde{d} \circ \tilde{h}$.

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